



## **Mechanics of spontaneously arrested laboratory earthquakes**

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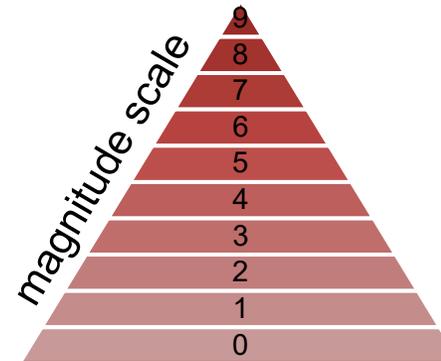
Chun-Yu Ke  
(Penn State University)

Gregory McLaskey  
(Cornell University)

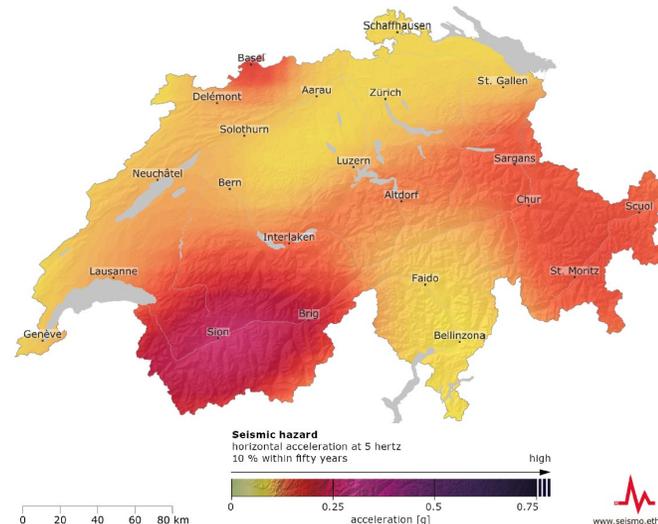
# The 101 of earthquakes and seismic hazard

## Earthquakes

1. occur at a location (e.g., **epicenter**)
2. have an intensity (e.g., magnitude)
3. cause ground shaking (→ hazard)
4. are result of motion between tectonic plates



source: John Wiley



## Spürbares Erdbeben Erdbeben der Stärke 4 erschüttert Teile der Schweiz

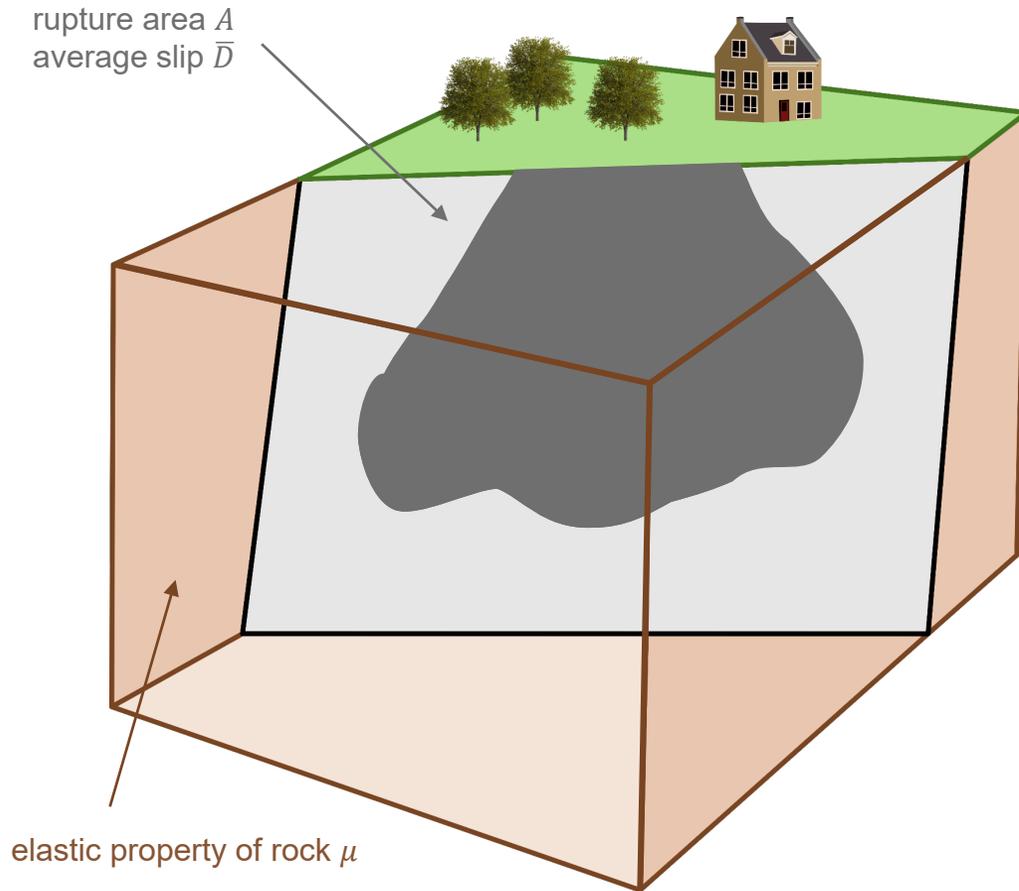
Donnerstag, 01.07.2021, 14:24 Uhr



- Kurz nach Donnerstagmittag hat in Teilen der Schweiz die Erde gebebt.
- Laut dem Schweizerischen Erdbebendienst der ETH Zürich (SED) hatte es eine Stärke von 4.1 auf der Richter-Skala.
- Die Erschütterung war besonders im Oberwallis, im Berner Oberland sowie in der Innerschweiz und im Nordtessin spürbar.
- Schäden hat das Beben offenbar nicht angerichtet.



# Earthquakes are ruptures propagating across tectonic faults



Initiation

when and where?

Propagation

how “loud”?

Arrest

how large?

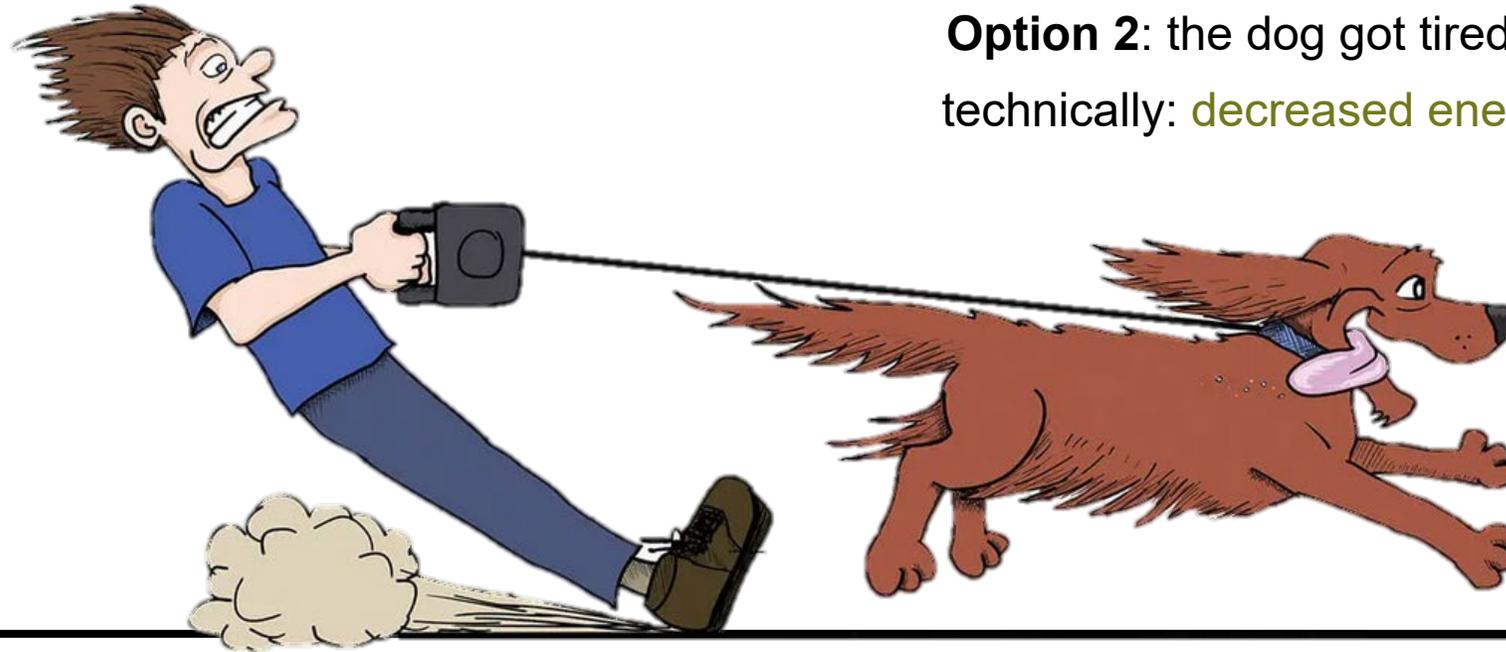
moment magnitude:  $M_W = \frac{2}{3} \log_{10}(M_0) - 10.7$

with seismic moment:  $M_0 = \mu \bar{D} A$

# How to make an earthquake stop?

Conceptually, there are two options to stop moving

Earthquake: tectonic stresses  
&  
fault properties



Option 2: the dog got tired  
technically: decreased energy



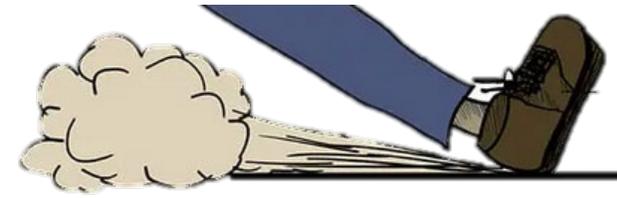
Option 1: you are strong and break well enough  
technically: increased friction



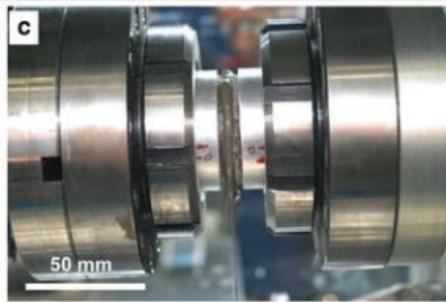
Earthquake: fault properties

source: <https://www.groomertogroomer.com>

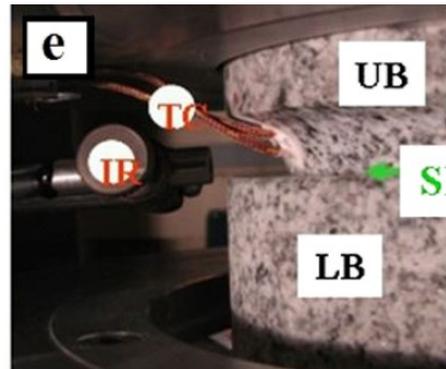
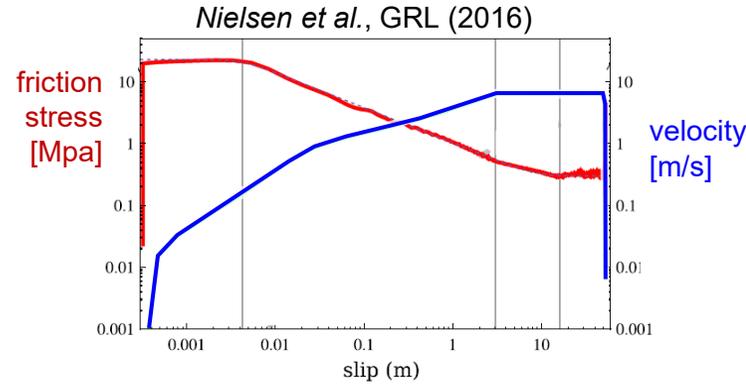
# Fault (friction) properties can be measured in small-scale laboratory experiments



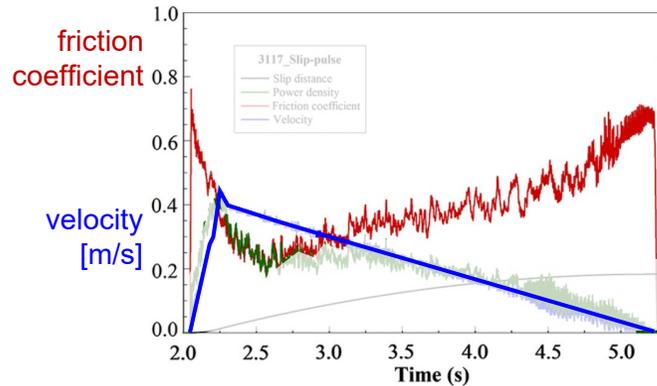
For example: high-velocity rotary shear experiments



Di Toro et al., *Rend. Fis. Acc. Lincei* (2010)



Liao et al., *Earth Planet Sci. Lett.* (2014)



Studied effects:

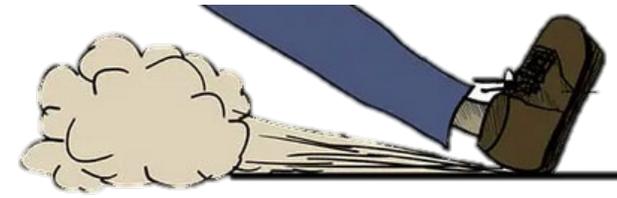
- rock type
- normal pressure
- slip distance
- slip velocity
- ...

Still many questions open:

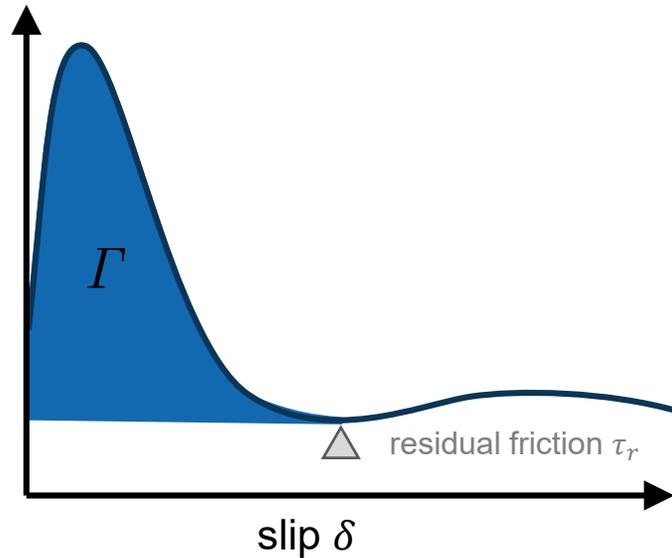
- natural conditions
- fault heterogeneities
- ...

and many more...

One key friction parameter is the fracture energy



friction stress  $\tau$



the fracture energy is the energy dissipated during the frictional weakening process

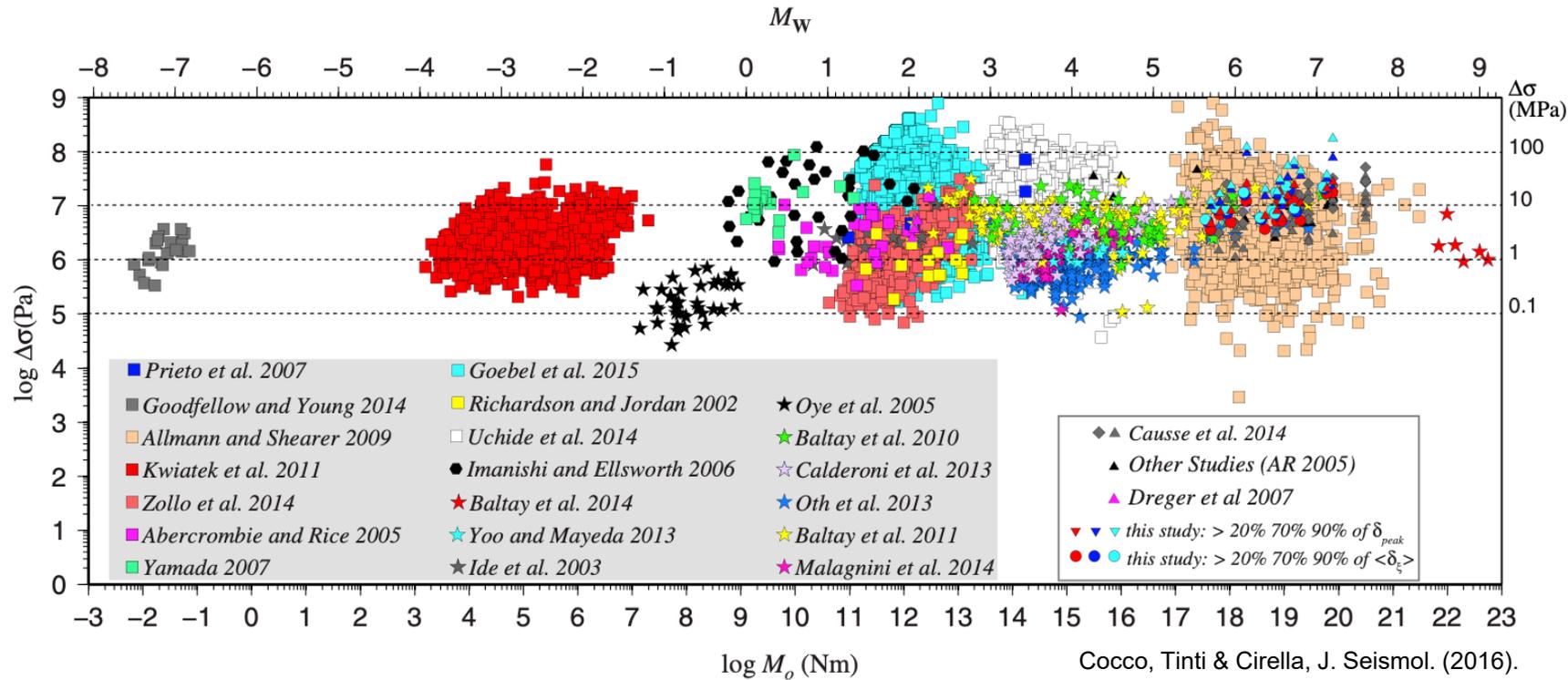
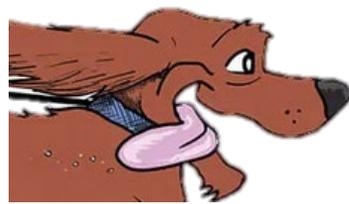
$$\Gamma = \int_0^{\infty} [\tau(\delta) - \tau_r] d\delta$$

[Rice, 1968; Ida, 1972]

the fracture energy is crucial for earthquake rupture propagation and arrest



# Seismologically-estimated stress drops vary over multiple orders of magnitude



part of variation is due to uncertainty

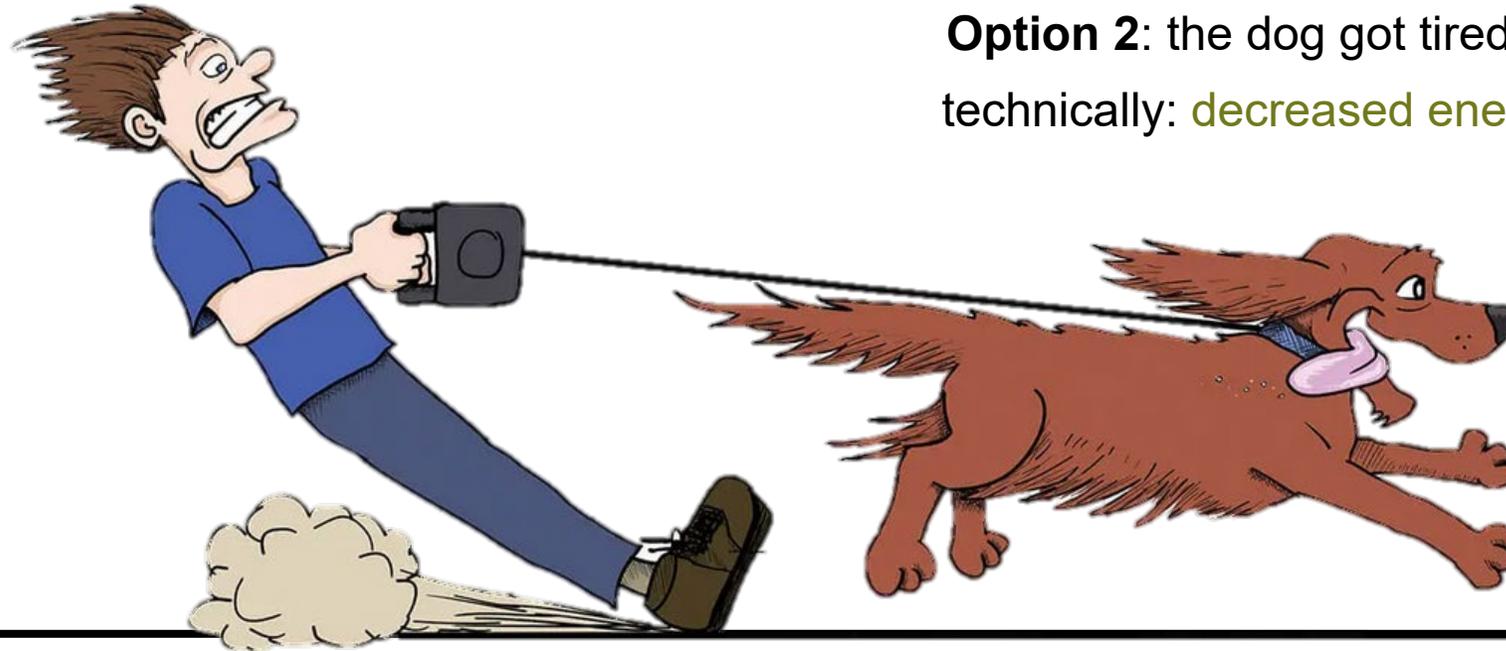
$$\overline{\Delta\tau} = \frac{7}{16} \frac{M_0}{r_0^3}$$

# How to make an earthquake stop?

Conceptually, there are two options to stop moving



Earthquake: tectonic stresses & fault properties



Option 2: the dog got tired  
technically: decreased energy



source: <https://www.groomertogroomer.com>

Option 1: you are strong and break well enough  
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Earthquake: fault properties

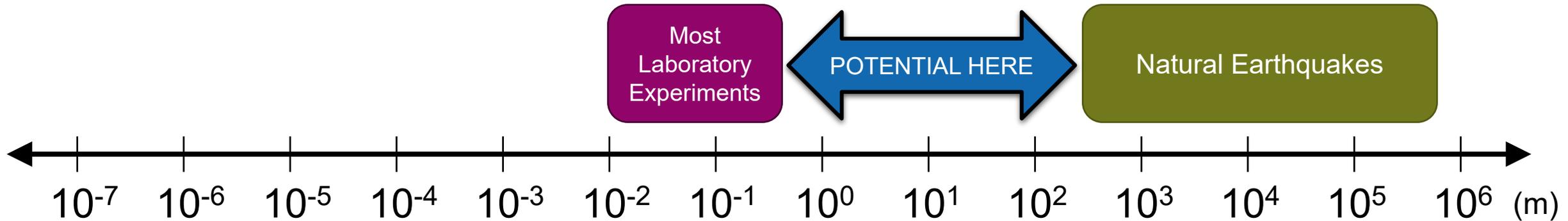


# How can we find out how earthquakes arrest?

## Large-scale laboratory earthquakes may provide insight!

- fault properties

- tectonic stress (drop)
- natural loading condition
- system heterogeneities



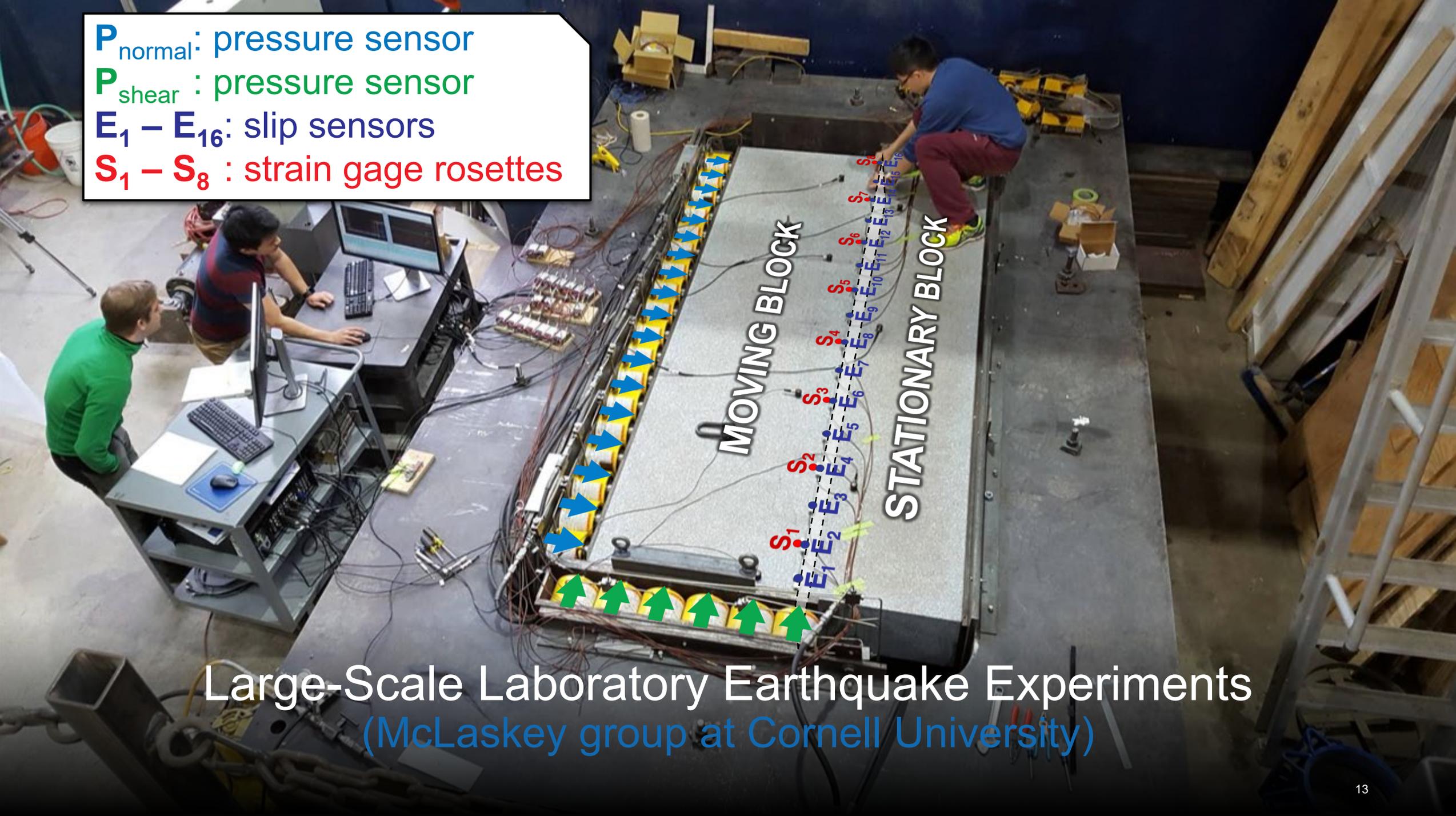
# Overview of three parts

- a. Summary of experiments
- b. Why laboratory earthquakes arrest
- c. Laboratory earthquakes arrest from a seismology perspective

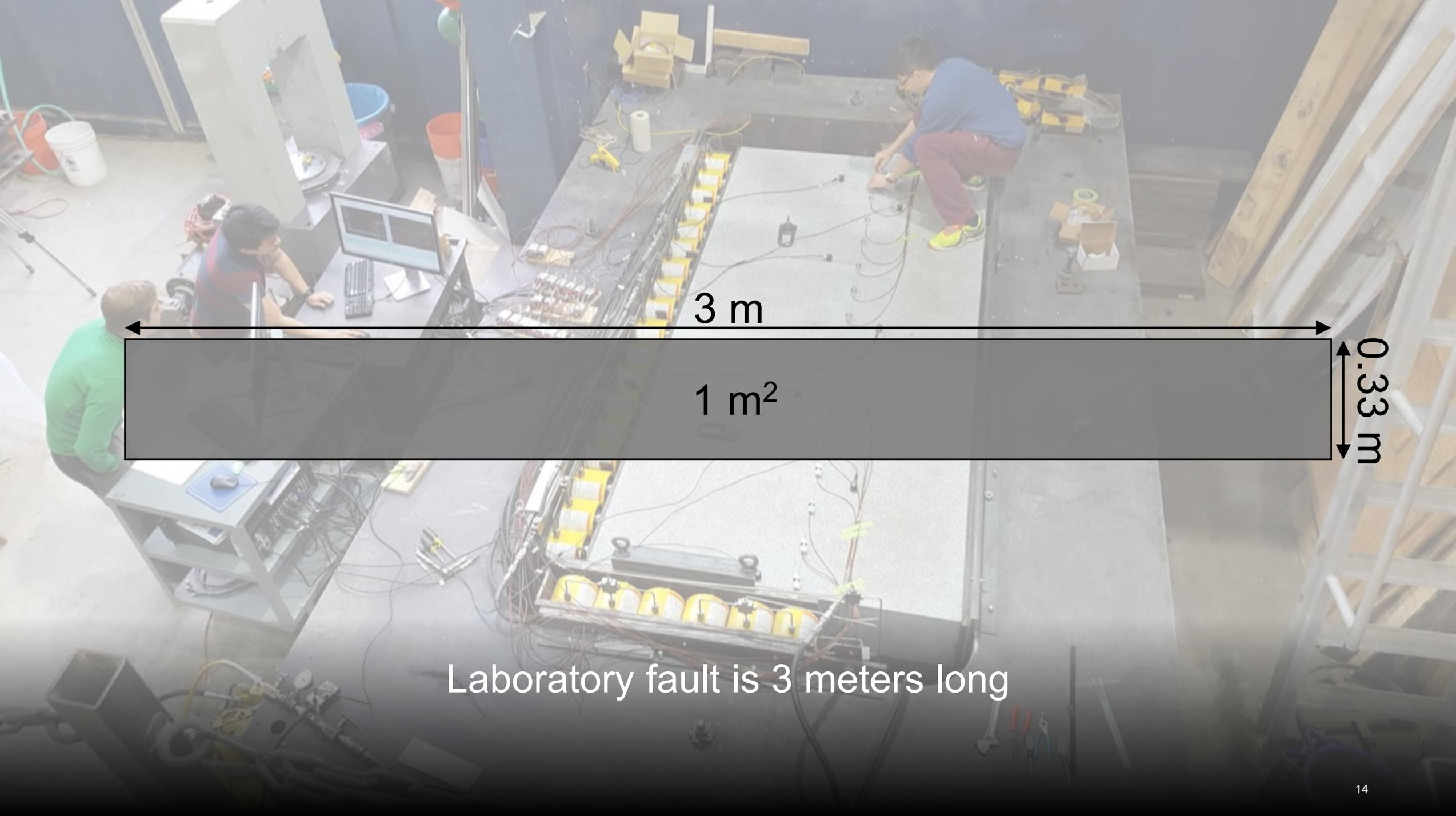
# Summary of experiments

(conducted at Cornell University)

$P_{\text{normal}}$ : pressure sensor  
 $P_{\text{shear}}$ : pressure sensor  
 $E_1 - E_{16}$ : slip sensors  
 $S_1 - S_8$ : strain gage rosettes



Large-Scale Laboratory Earthquake Experiments  
(McLaskey group at Cornell University)



3 m

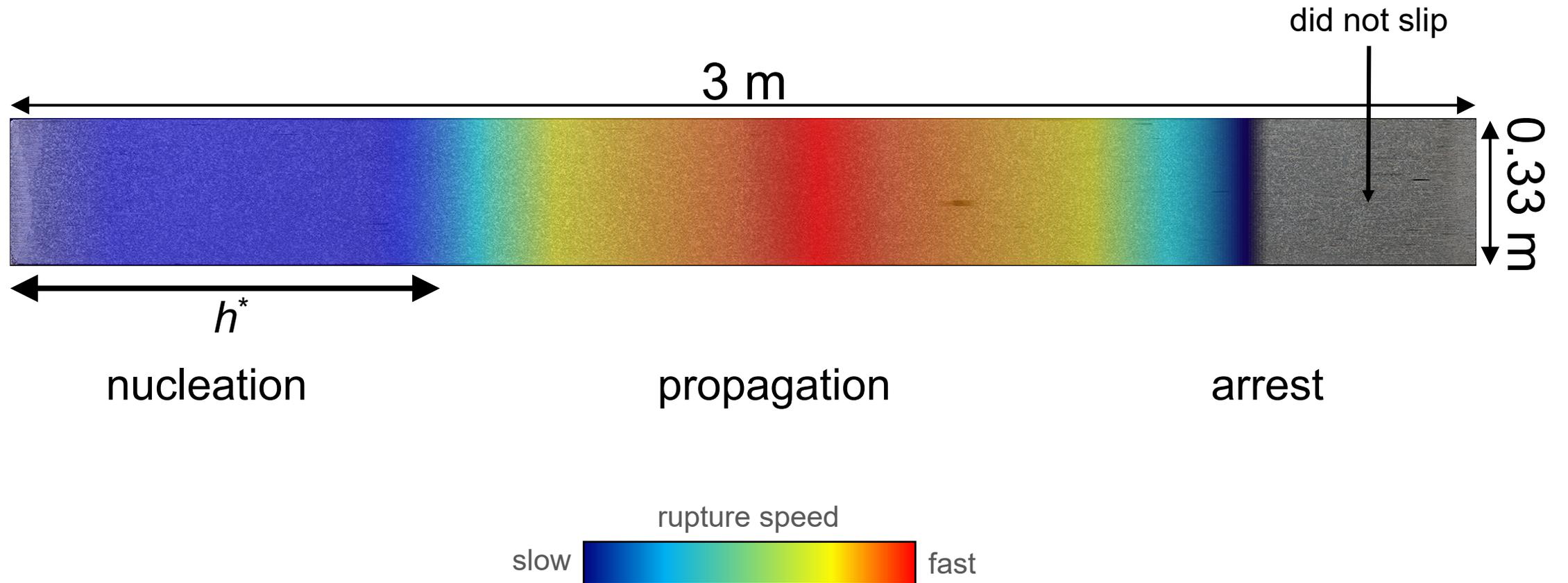
1 m<sup>2</sup>

0.33 m

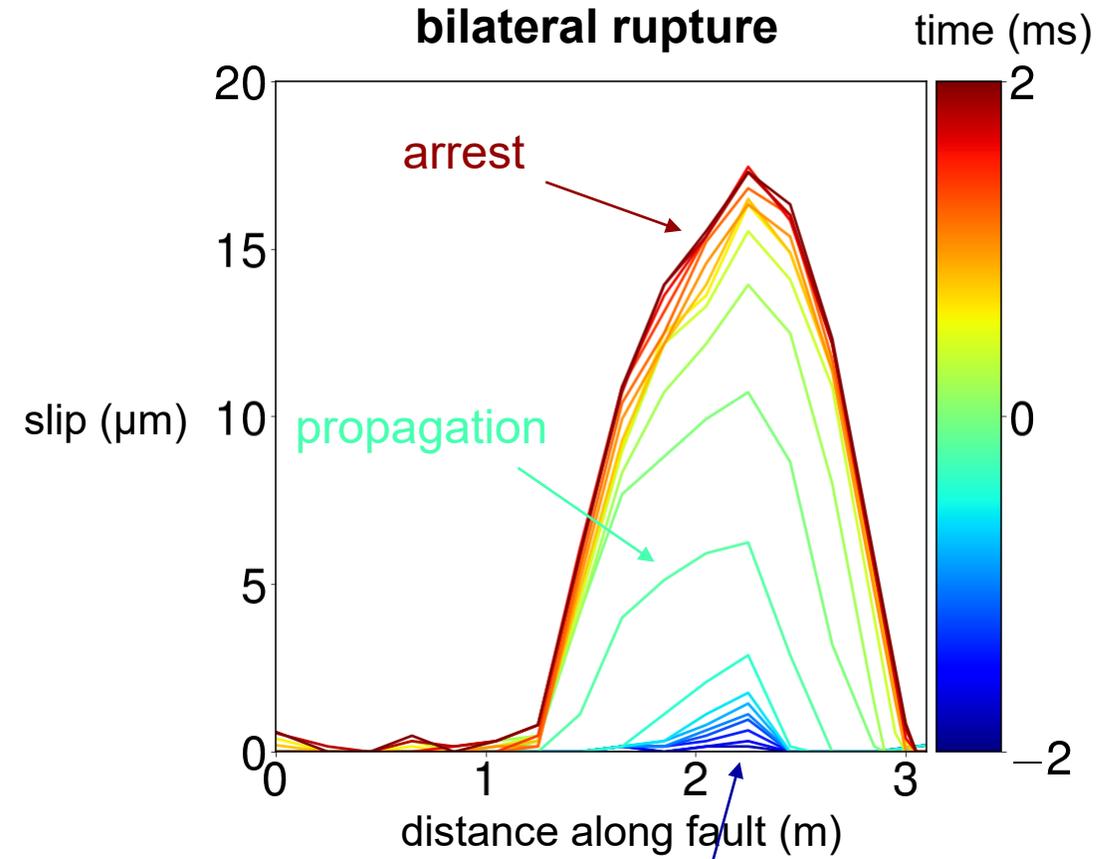
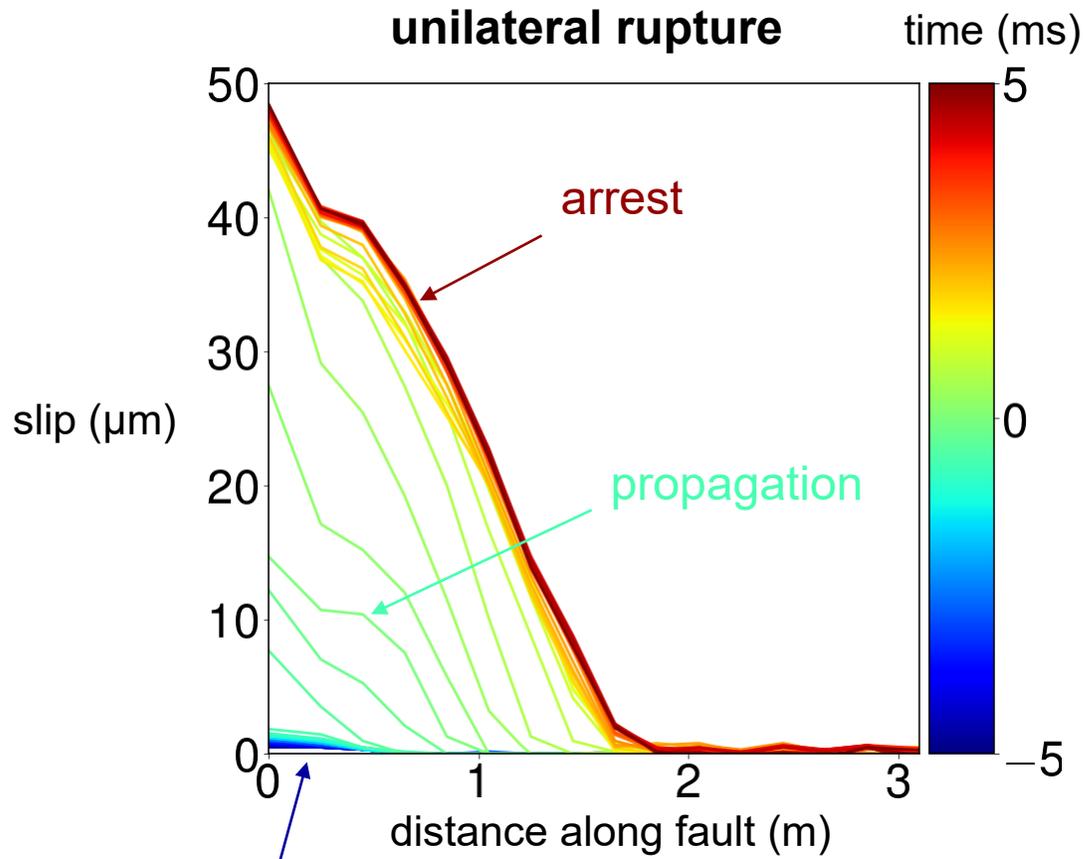
Laboratory fault is 3 meters long

# Why 3 meters?

1.  $h^*$  – Critical nucleation length
2. For granite in lab conditions,  $h^* \approx 0.5 - 1$  m

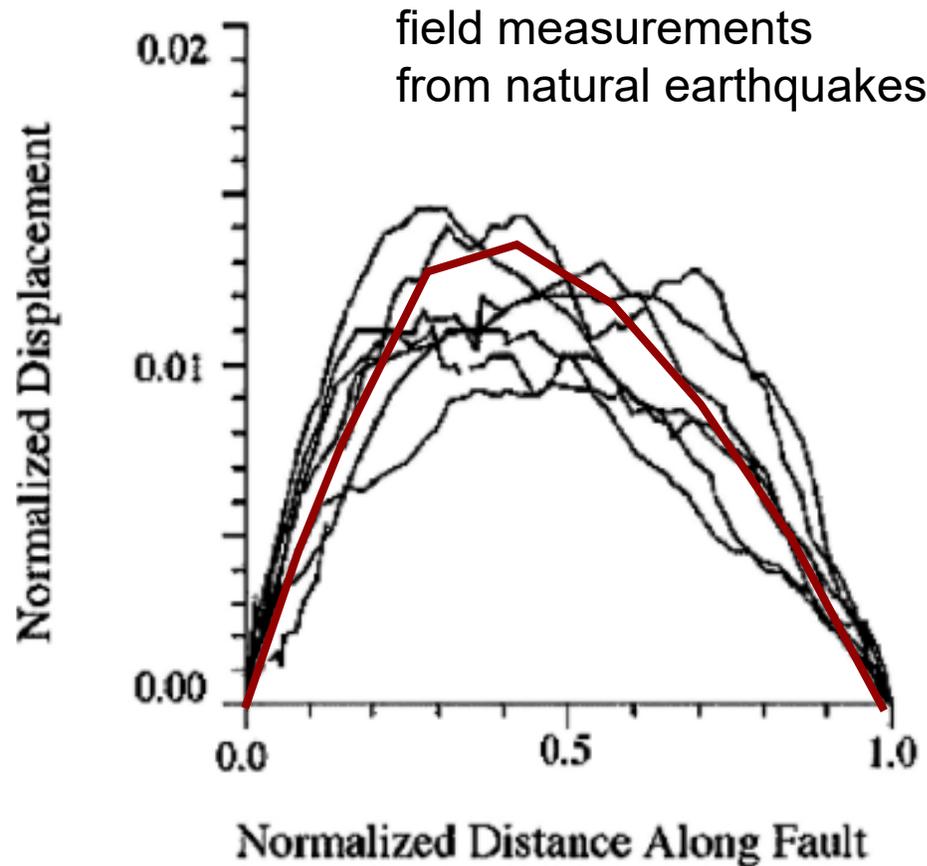


Confined laboratory earthquakes present all three phases:  
**nucleation**, **propagation**, and **arrest**

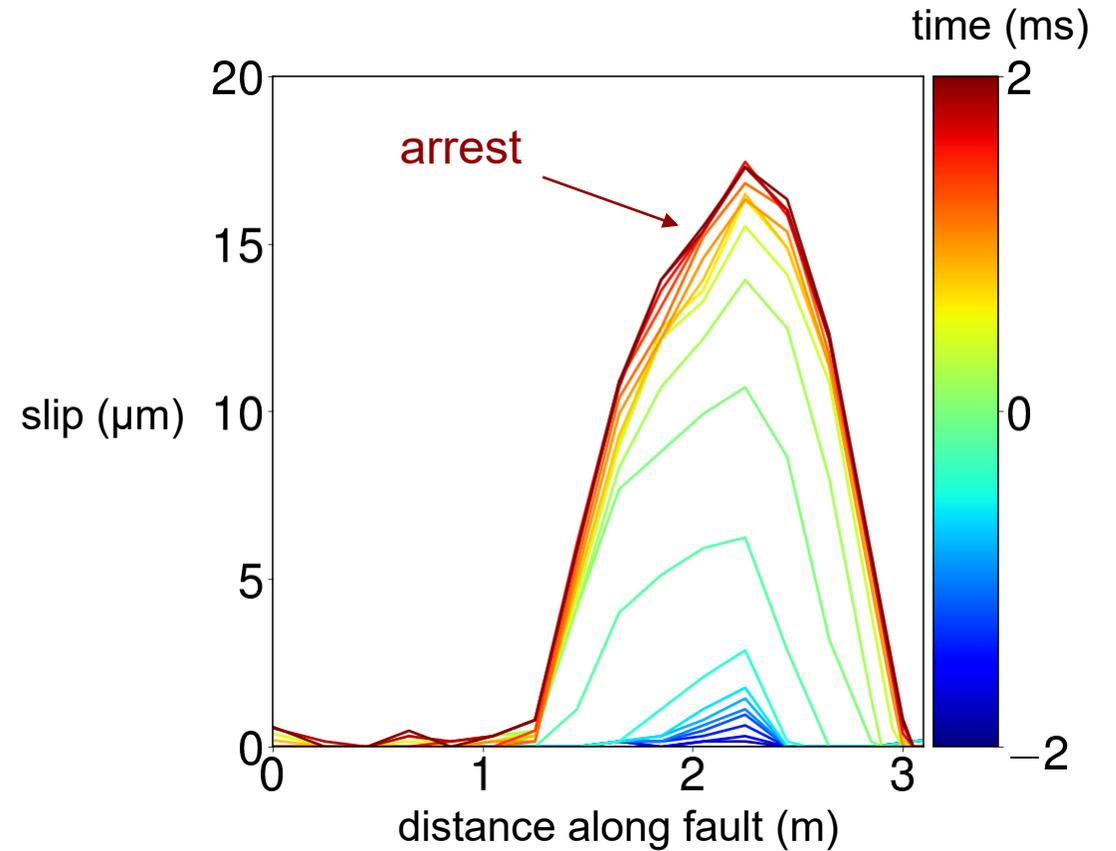


snapshots with  $\Delta t = 0.2$  msec

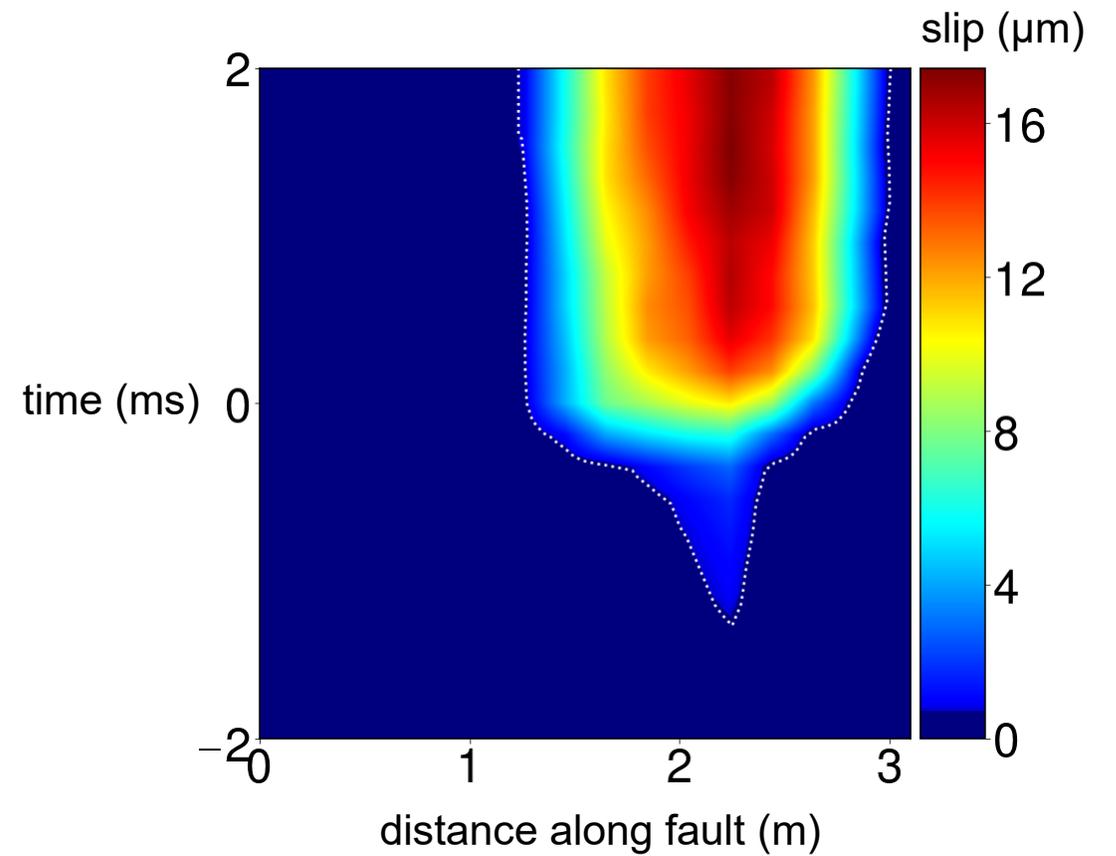
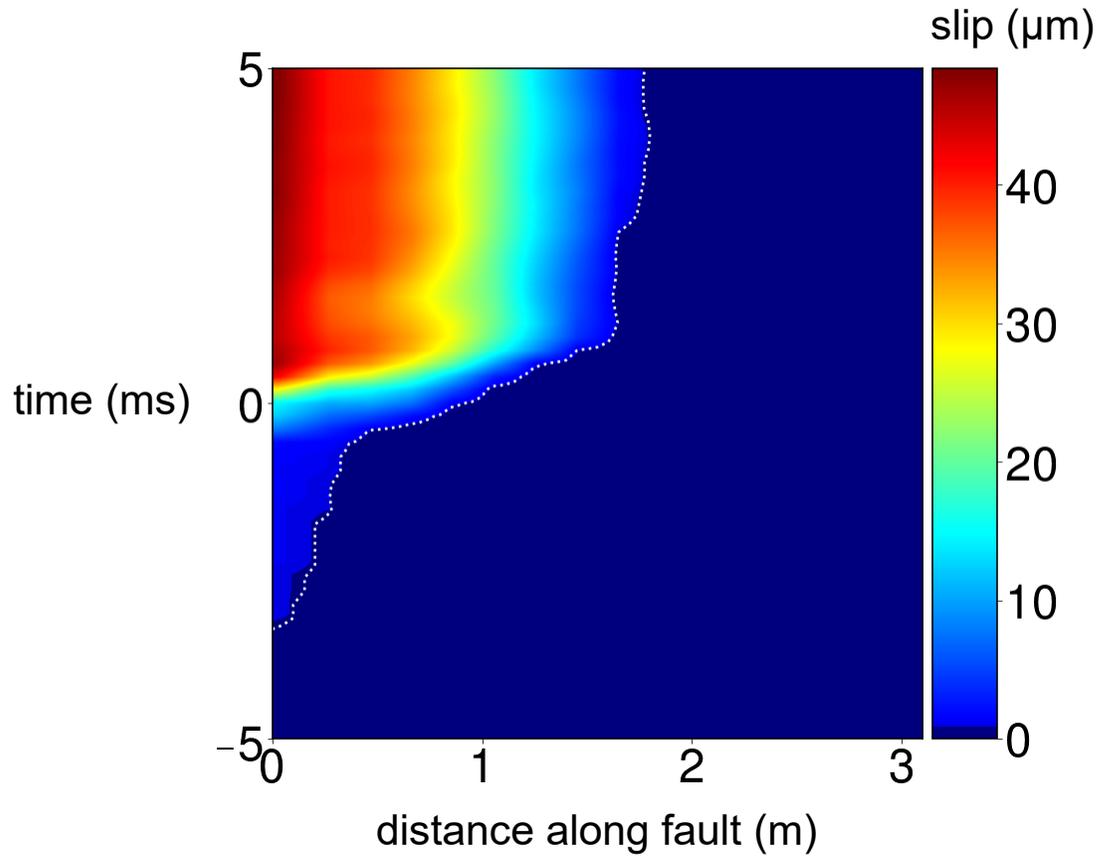
# Slip distribution of arrested laboratory earthquakes is qualitatively comparable to natural earthquakes



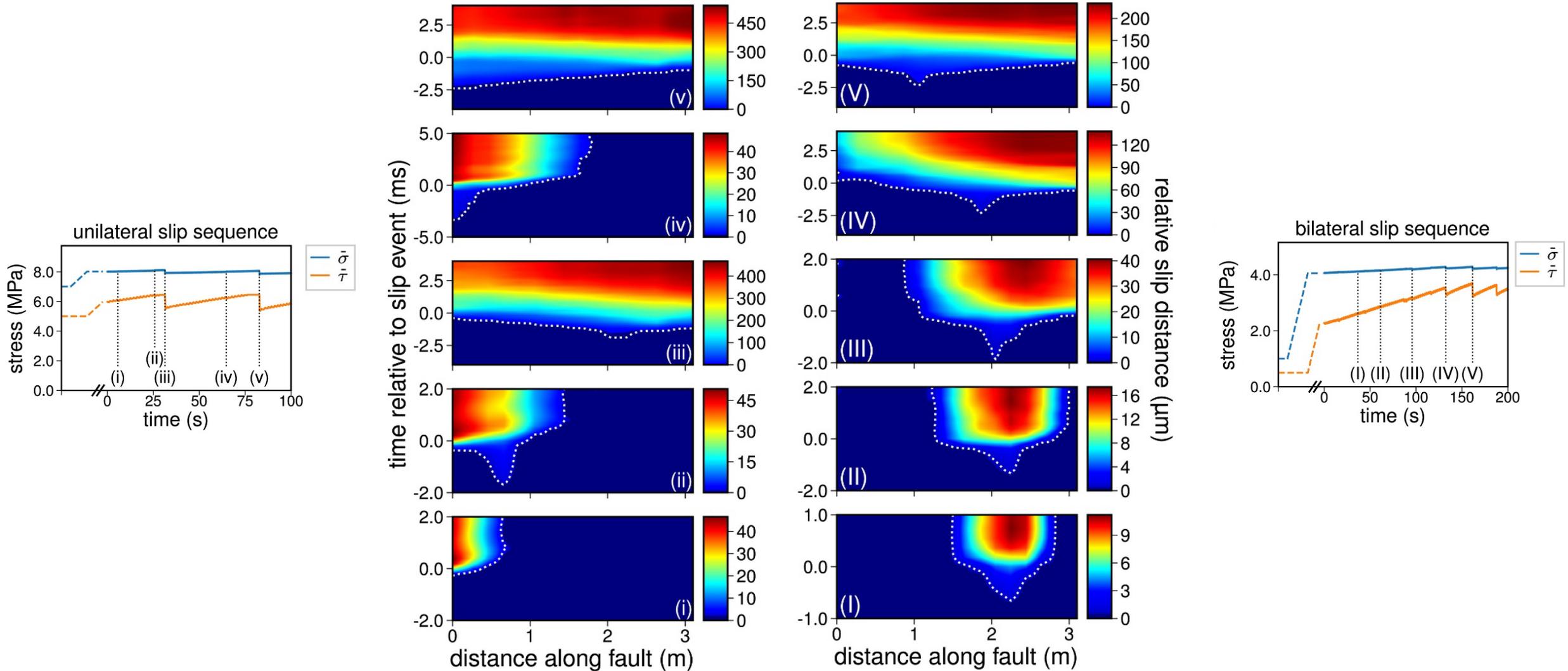
(Scholz and Lawler, 2004)



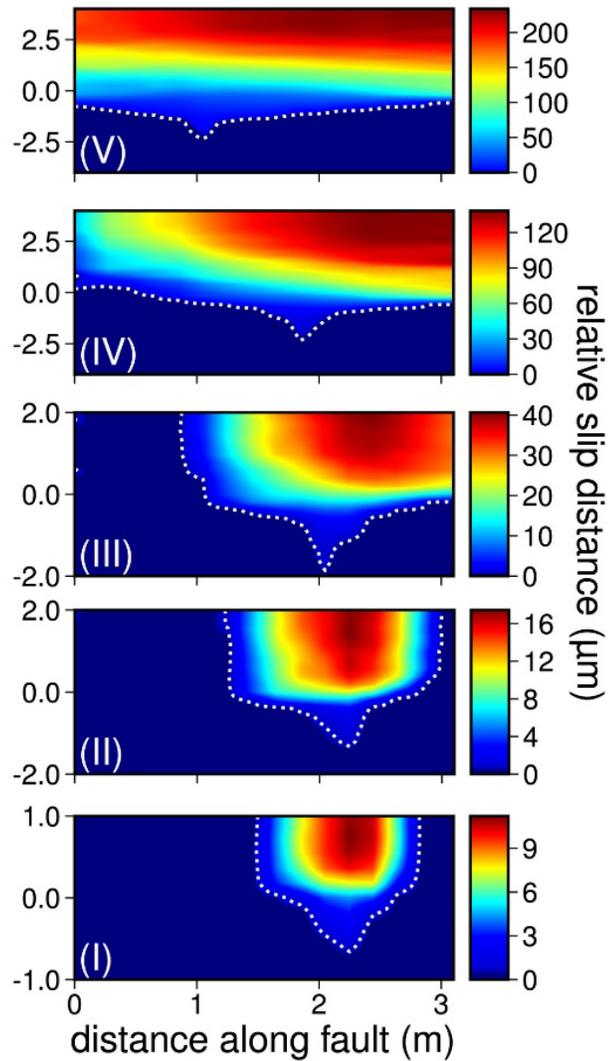
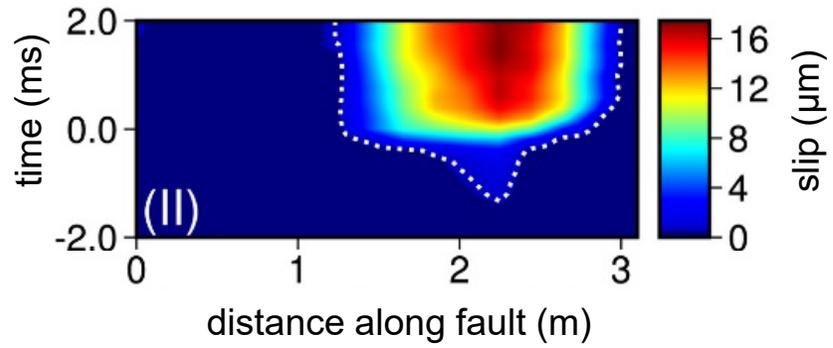
# The three earthquake phases appear also in the space-time diagrams



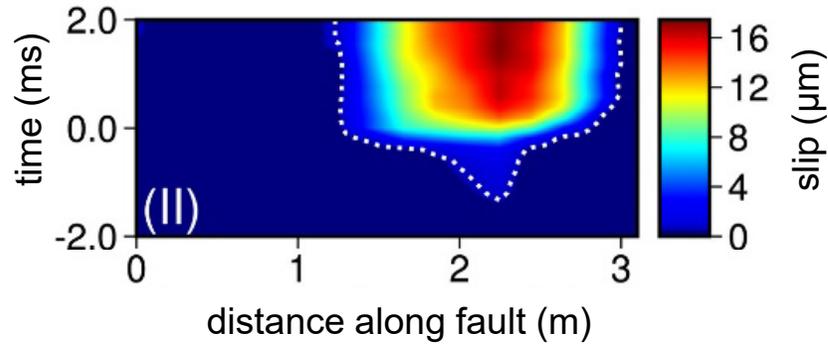
# Earthquake sequences in the laboratory



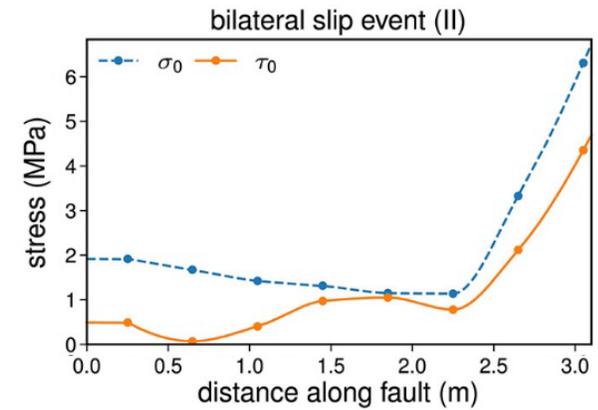
What governs the spontaneous arrest  
of laboratory earthquakes?



# What governs the arrest of a fault rupture?



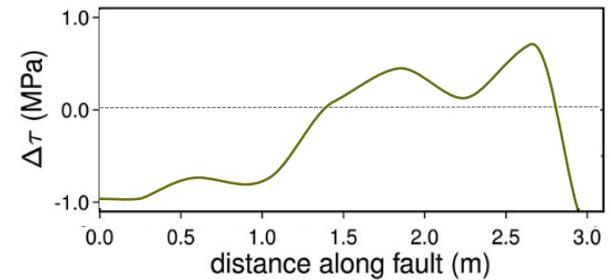
measured normal and shear stress along fault



but really stress change matters

$$\Delta\tau_{pot} = \tau_0 - \tau_r$$

could come from friction law



$$G_{II}^S = \frac{\alpha}{E_0} (K_{II}^S)^2$$

$$K_{II}^S(x_c \pm a) = \frac{1}{\sqrt{\pi a}} \int_{-a}^a \Delta\tau_{pot}(x_c + s) \sqrt{\frac{a \pm s}{a \mp s}} ds$$

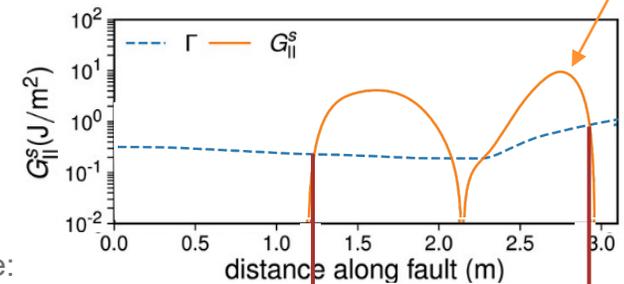
[Kammer *et al.*, *Tribol. Lett.*, 2015]

however, we should think in terms of energy

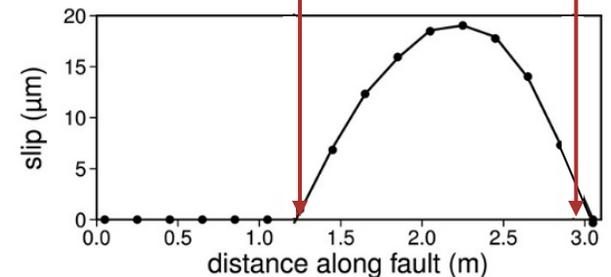
$$G_{II}^S(x) < \Gamma(x)$$

driving force:  
energy release rate

resistance:  
fracture energy

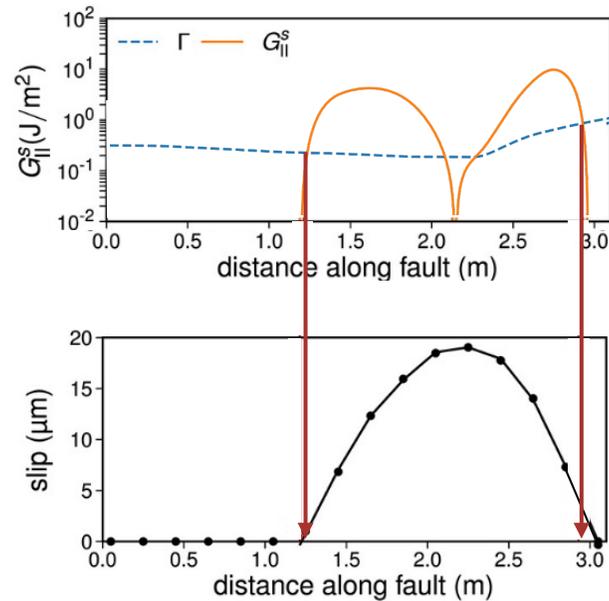


measured slip after arrest along fault

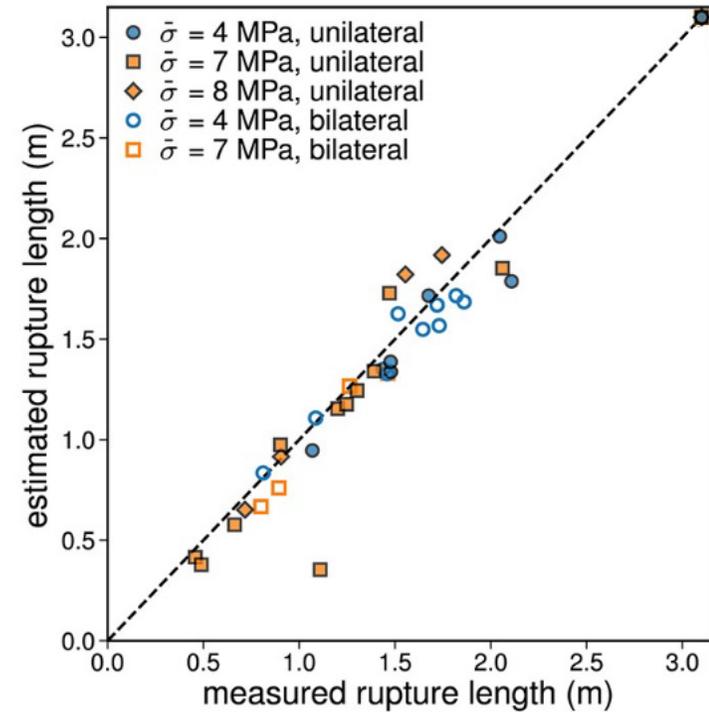


# An energy balance governs arrest of fault ruptures

$$G_{II}^S(x) < \Gamma(x)$$



35 contained, and  
24 complete ruptures



Who made it stop? The dog owner

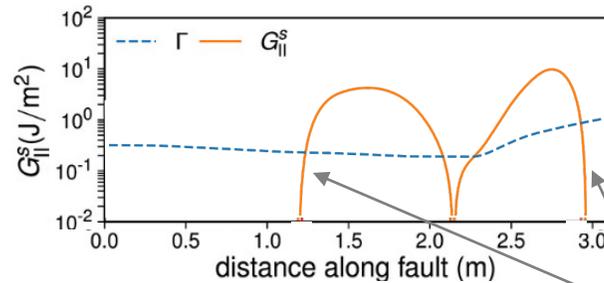


or the dog ?



arrest criterion:

$$\Gamma(x) > G_{II}^S(x)$$

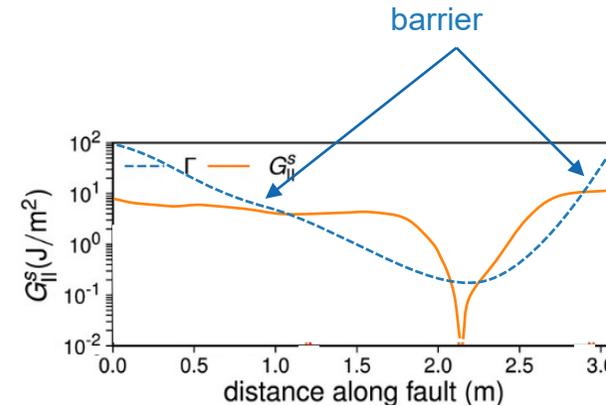


The responsible is:



the dog runs out of energy

lab earthquake: the rock is not pushing enough



What if the responsible was:



the friction would have to increase (→ fault barrier)

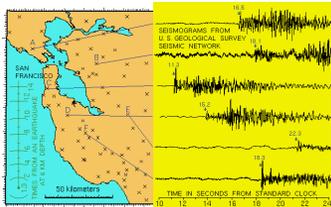
commonly assumed scenario for natural earthquake



# Arrest by fault barrier has been used out of necessity and because it worked

Arrest by fault barrier is commonly assumed because...

1) we still do not know much about **stress drop**



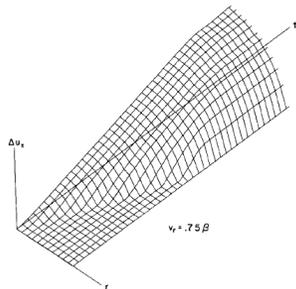
source: <https://www.usgs.gov>

$$\overline{\Delta\tau} = \frac{7}{16} \frac{M_0}{r_0^3}$$

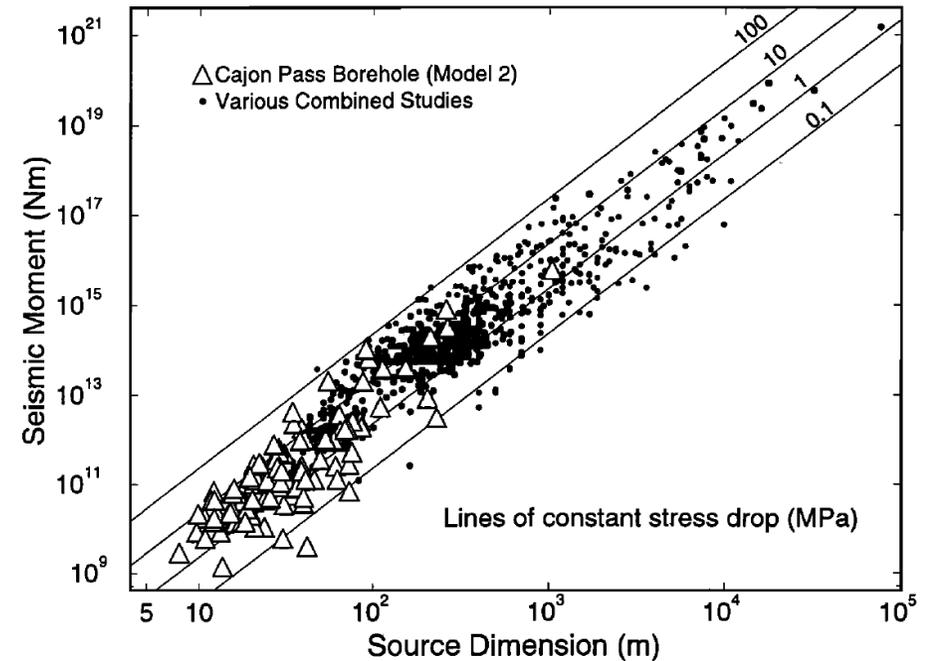
[Kanamori & Anderson, 1975]

hence, **stress drop** is assumed to be constant

2) it was implicitly assumed in early (numerical) work that was instrumental for analysis of natural earthquakes

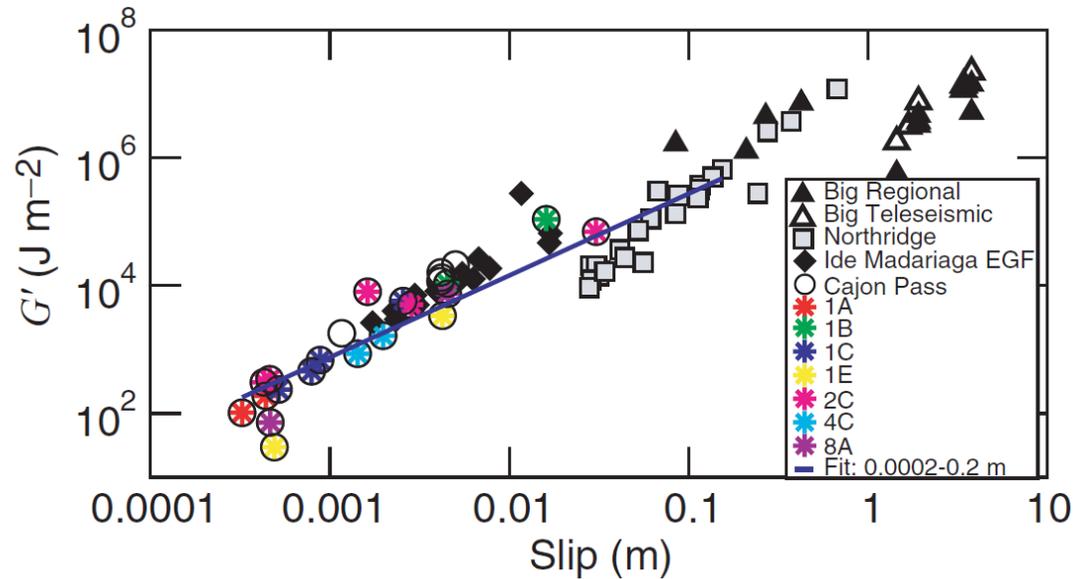


Brune, *J. Geophys. Res.*, 1970  
Madariaga, *Seismol. Soc. Am., Bull.*, 1976



Abercrombie, *J. Geophys. Res.*, 1995

# Seismologically inferred breakdown energy appears to scale

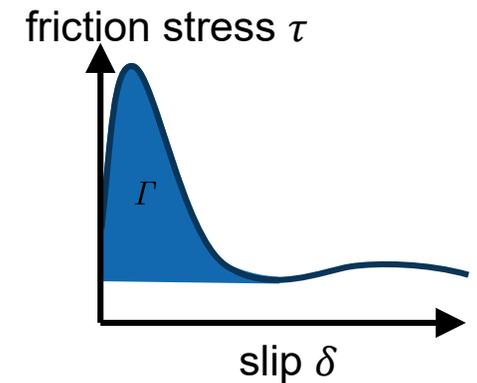


Abercrombie & Rice, *Geophys. J. Int.*, 2005

also: Viesca & Garagash, *Nat. Geo.*, 2015

given a few assumptions:

seismologically inferred breakdown energy  $G'$   
is equal  
to the fault fracture energy  $\Gamma$



“This implies the unlikely result that the early weakening behaviour of the fault depends on the ultimate slip that the fault will sustain.” (Abercrombie & Rice, 2005)

# Is scaling of seismologically inferred breakdown energy reasonable?

Since we assumed constant stress drop  $\Delta\tau$ ,

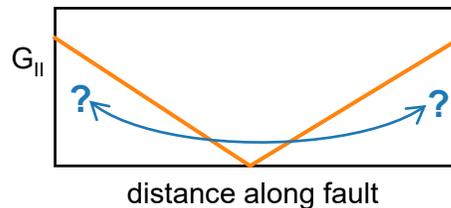
$$G_{II} \sim (\Delta\tau)^2 a$$

from self-similar model:  $a \sim D$

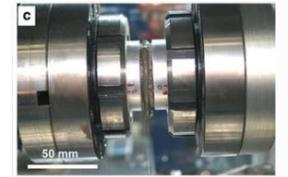
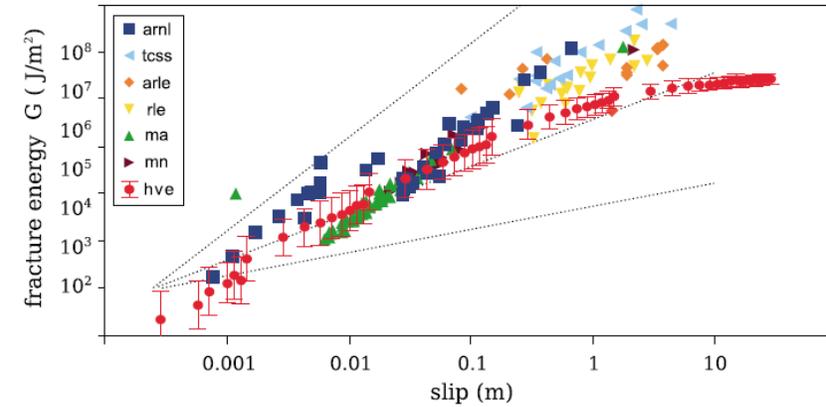
arrest criterion:

$$\Gamma = G_{II} \sim (\Delta\tau)^2 D$$

it is the only way to stop earthquakes

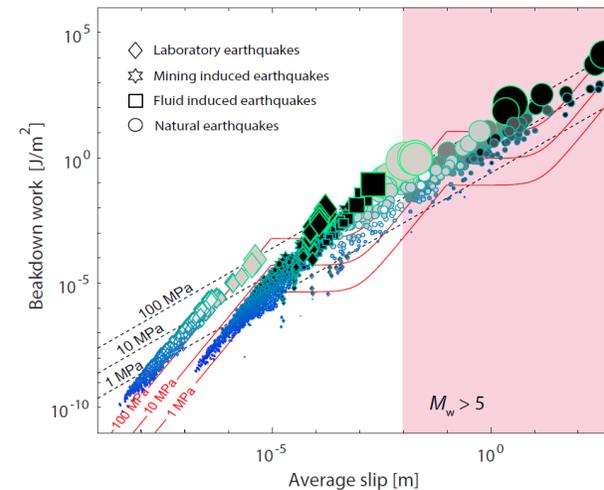


See also barrier model: Aki, *J. Geophys. Res.*, 1979



Di Toro *et al.*, (2010)

Nielsen *et al.*, GRL (2016)

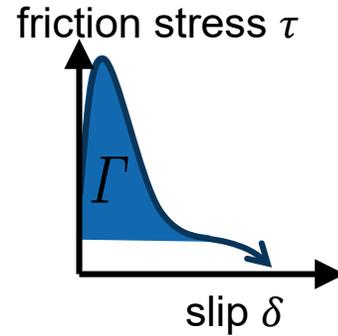


Paglialunga *et al.*, arXiv:2104.15103 (2021)

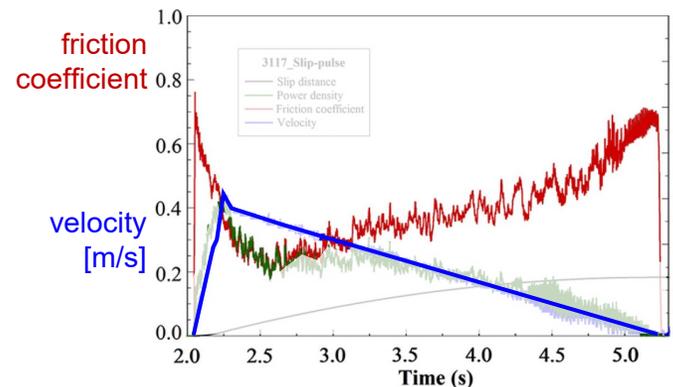
Yes, but ...

# Some inconsistencies raise questions about scaling of seismologically inferred breakdown energy

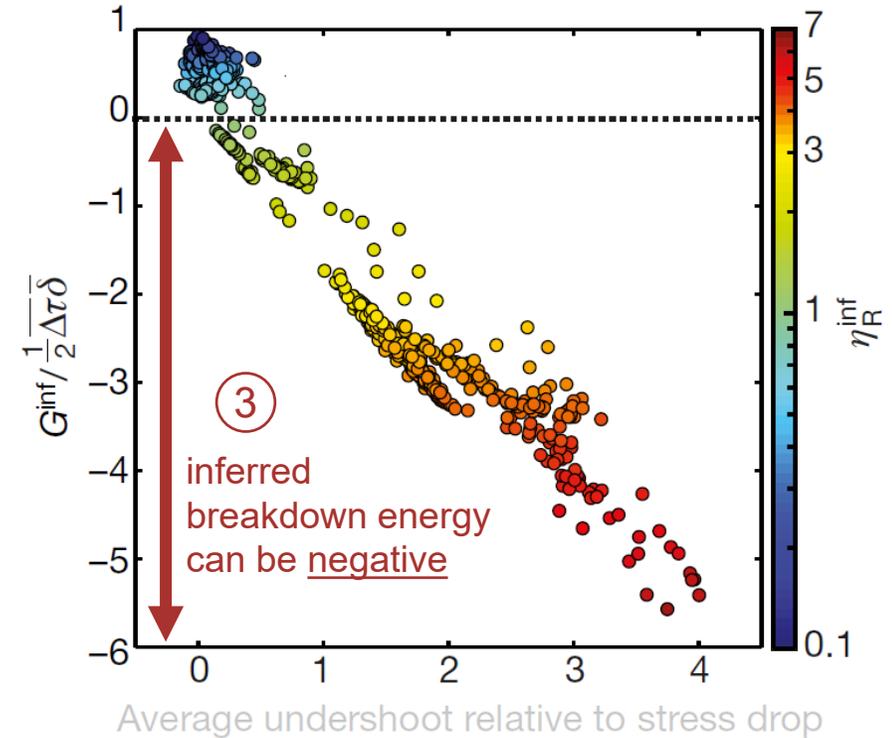
① there is a limit to further weakening (friction cannot go negative)



② fast-loading experiments present much shorter weakening lengths



Liao et al., *Earth Planet Sci. Lett.* (2014)



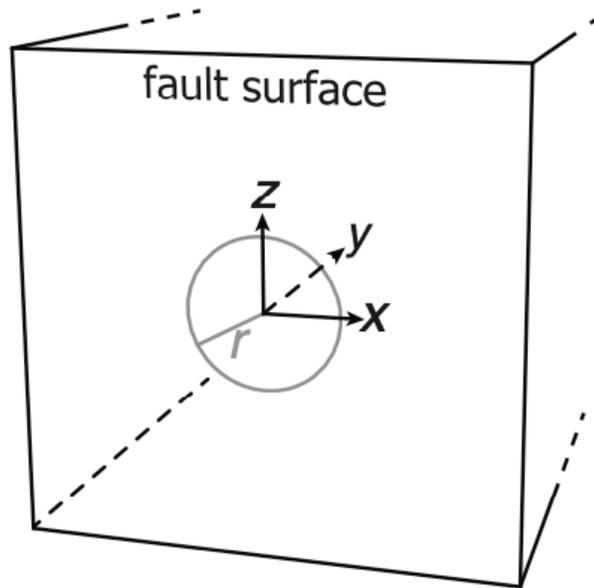
Lambert et al., *Nature*, 2021

What if we relax the assumption of **constant stress drop**?

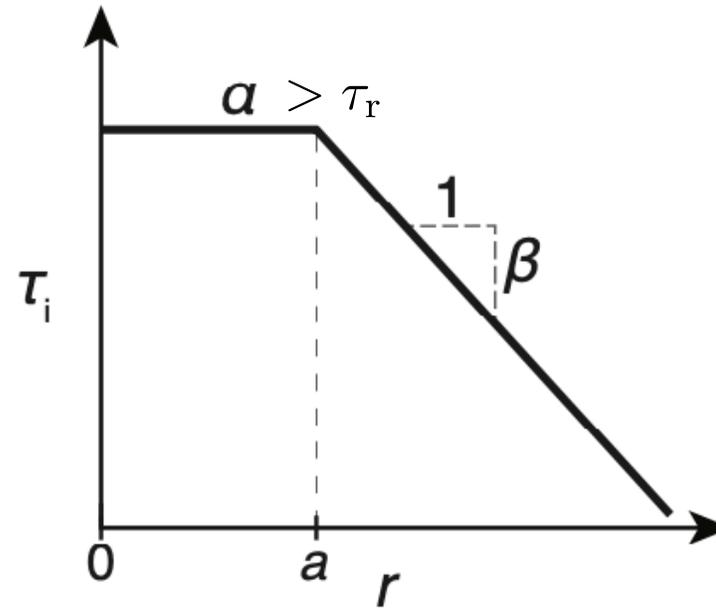
# Laboratory earthquakes arrest from a seismology perspective

# Simple(st) earthquake arrest model with non-uniform stress

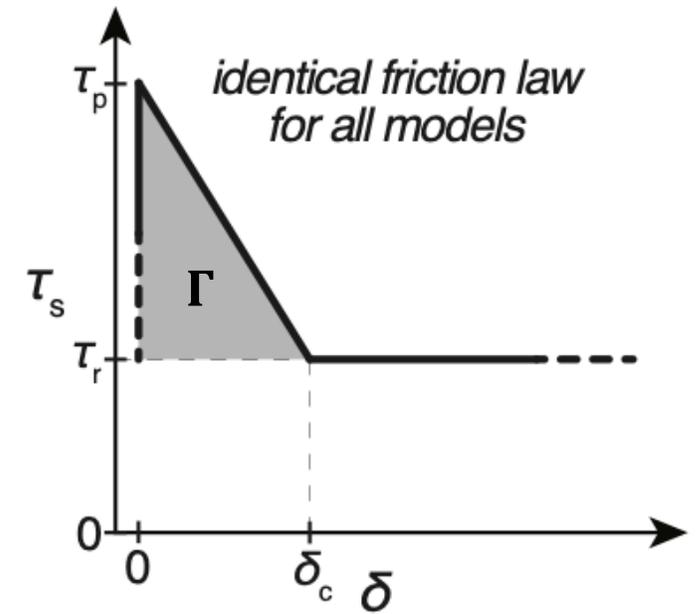
3D model



non-uniform stress

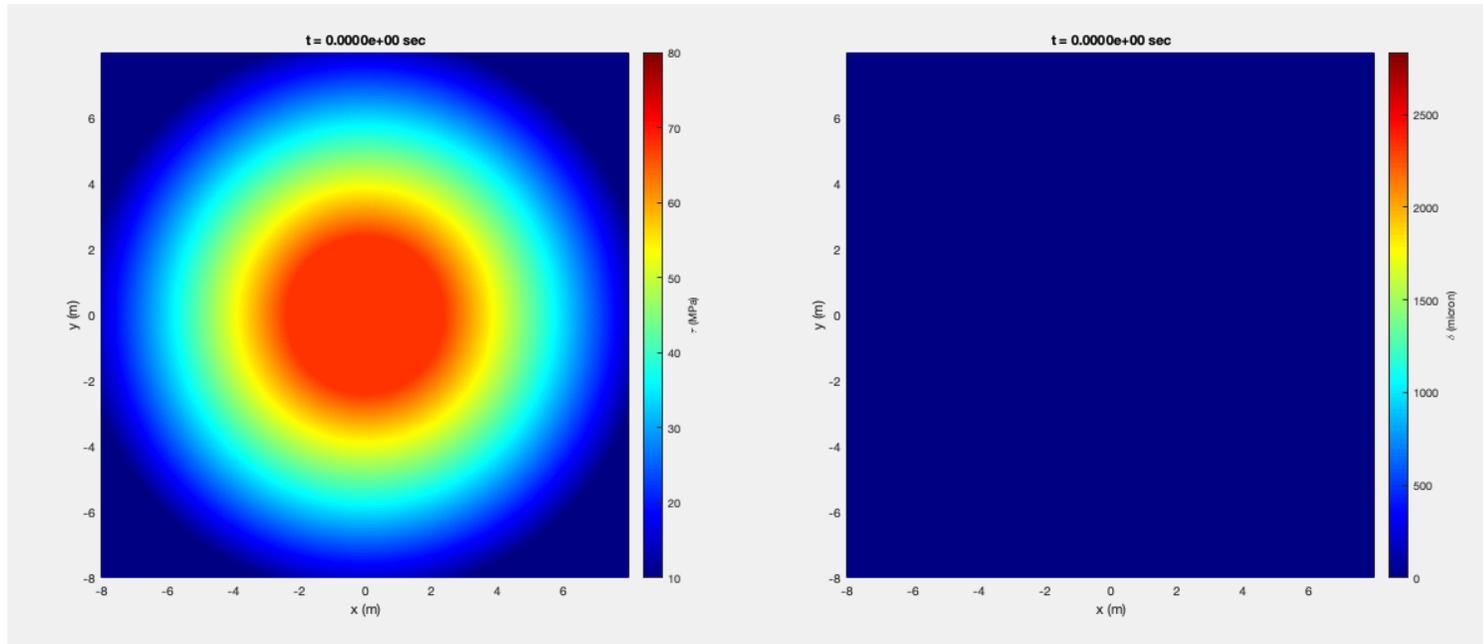


uniform & constant friction



# Simulated earthquake rupture arrests spontaneously

fault stress



fault slip

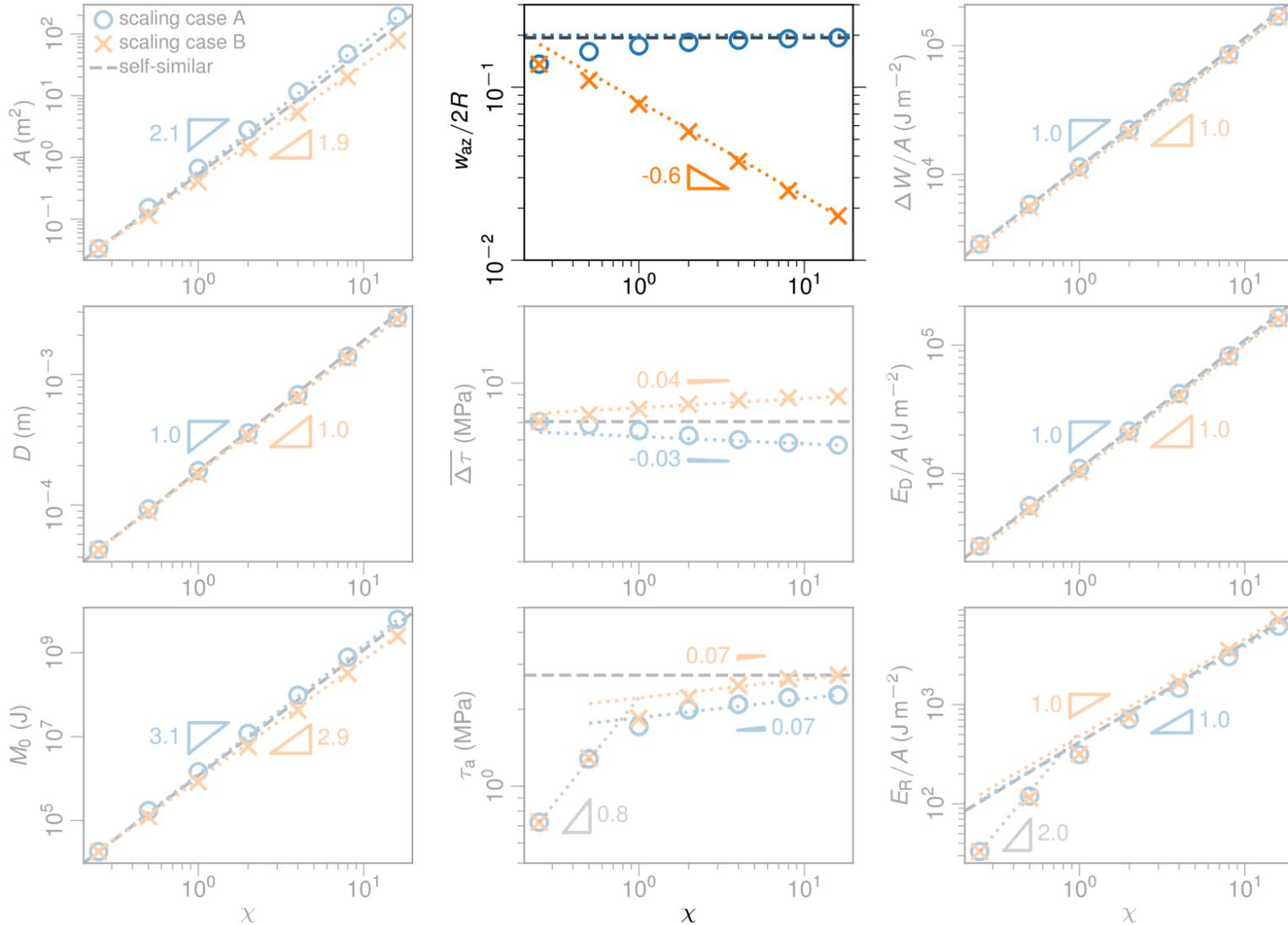
fully dynamic  
spectral boundary integral method

[Geubelle & Rice, JMPS, 1995]  
[Breitenfeld and Geubelle, IJF, 1998]

code available online:  
[Kammer *et al.*, SoftwareX, 2021]  
<https://gitlab.com/uguca/uguca>



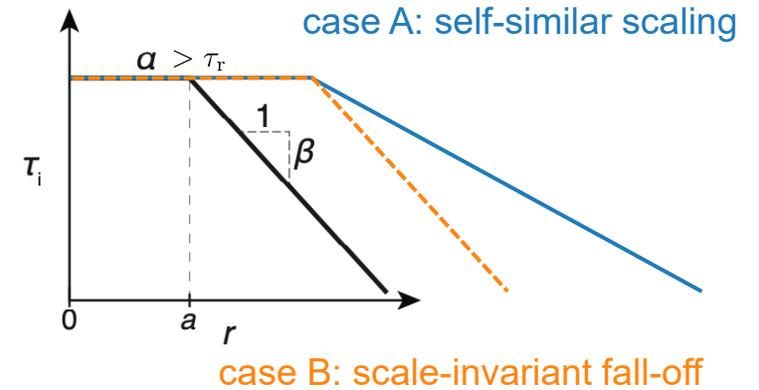
# Scaling is coherent with widely used self-similar model



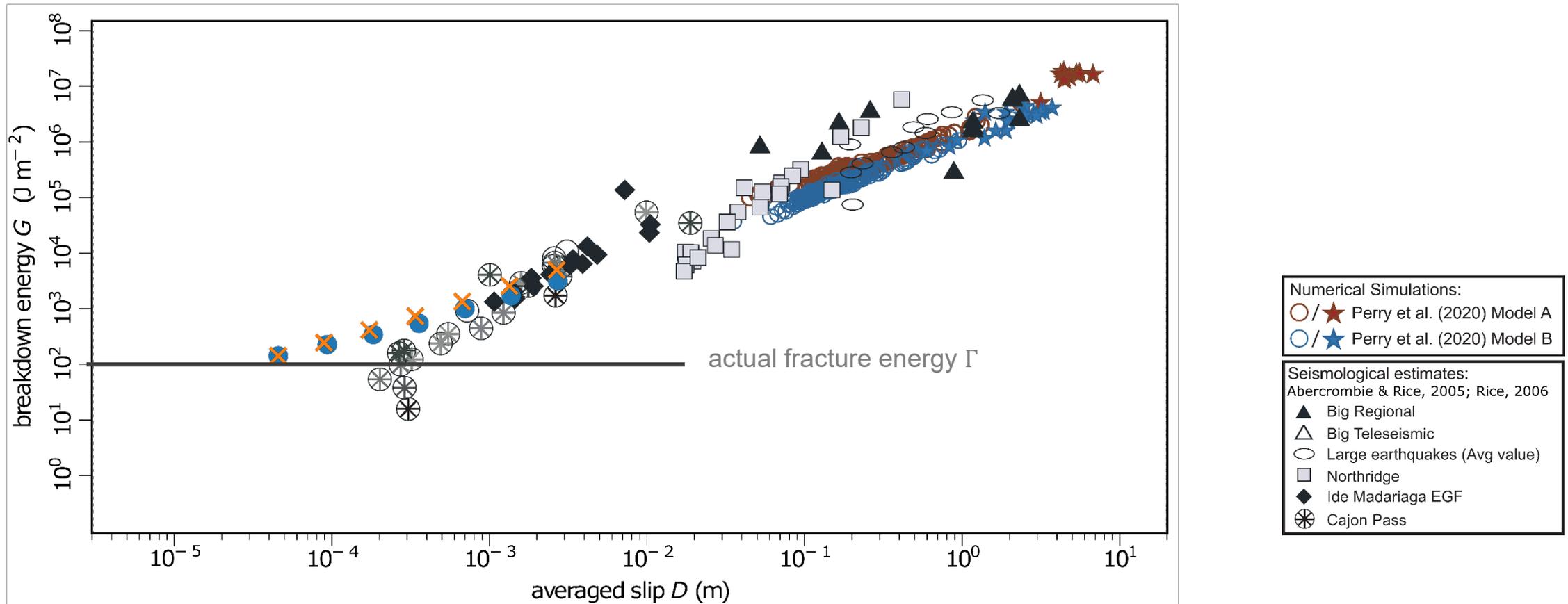
the earthquake arrest zone is likely scale-invariant

Ke et al., *Geophys. J. Int.*, 2021

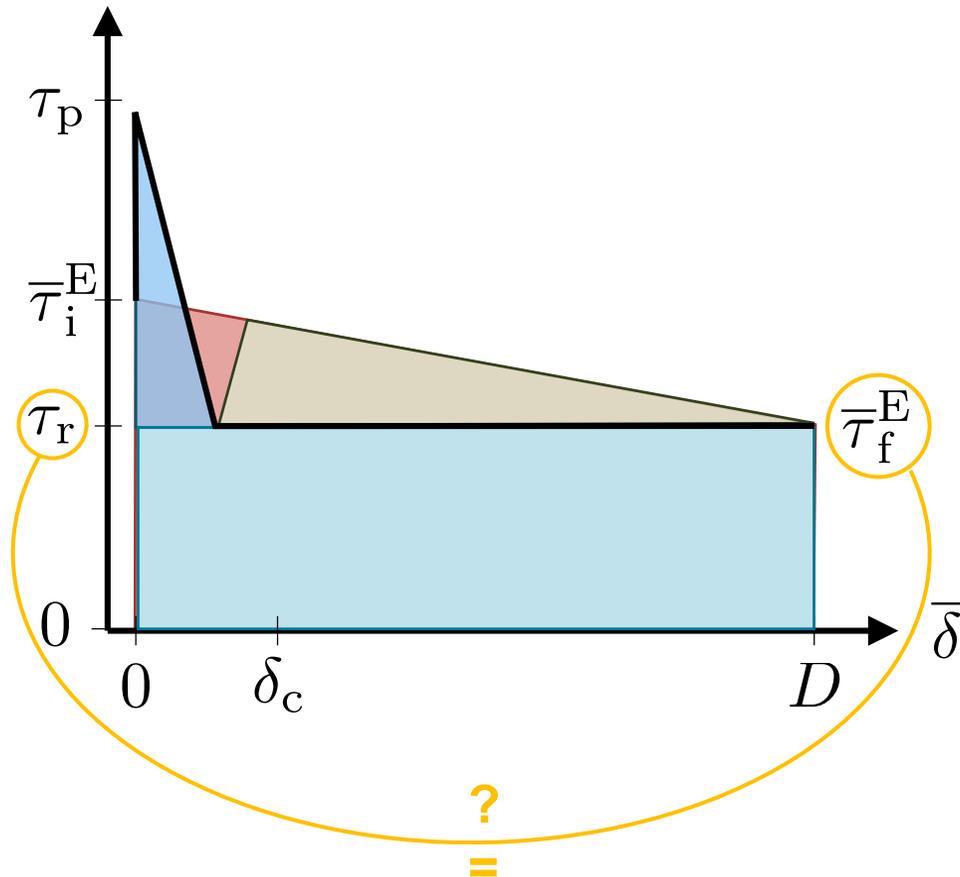
→ scaling **case A** more reasonable



# Seismologically inferred breakdown energy scales even though the imposed fracture energy is scale-invariant



# Seismologically inferred breakdown energy is computed by total energy balance



$$\Delta W / A = \bar{G} + E_H / A + E_R / A$$

energy released      heat      radiated energy      all averaged!  
breakdown energy

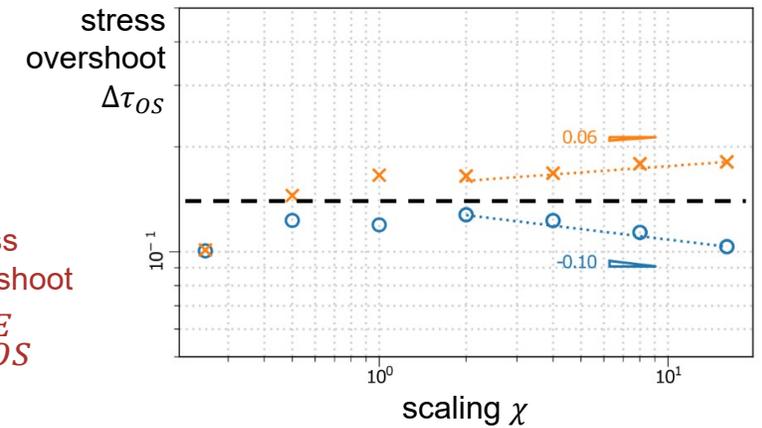
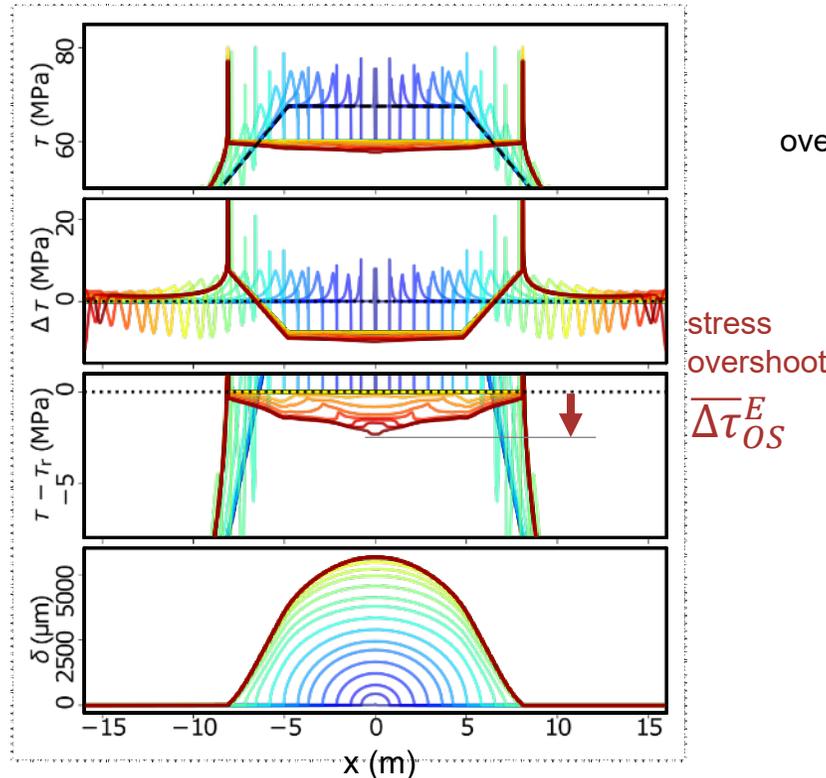
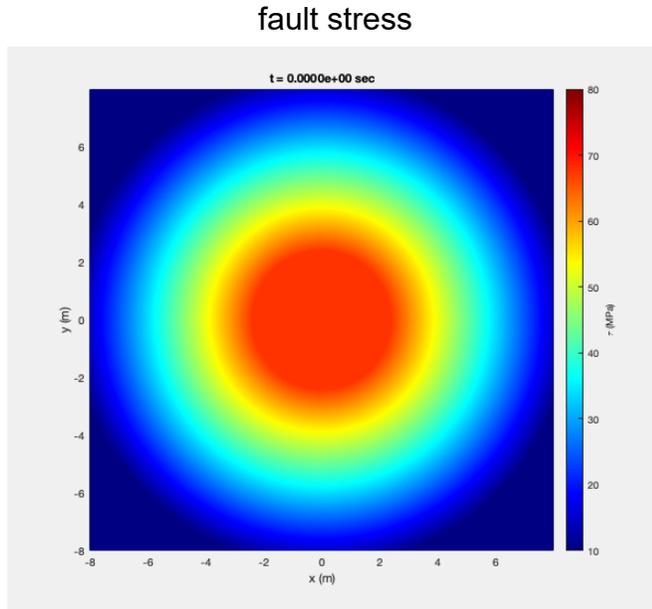
$$\rightarrow \bar{G} = \Delta W / A - E_H / A - E_R / A$$

important assumption:

final stress = residual stress of friction law

$$\bar{\tau}_f^E = \tau_r$$

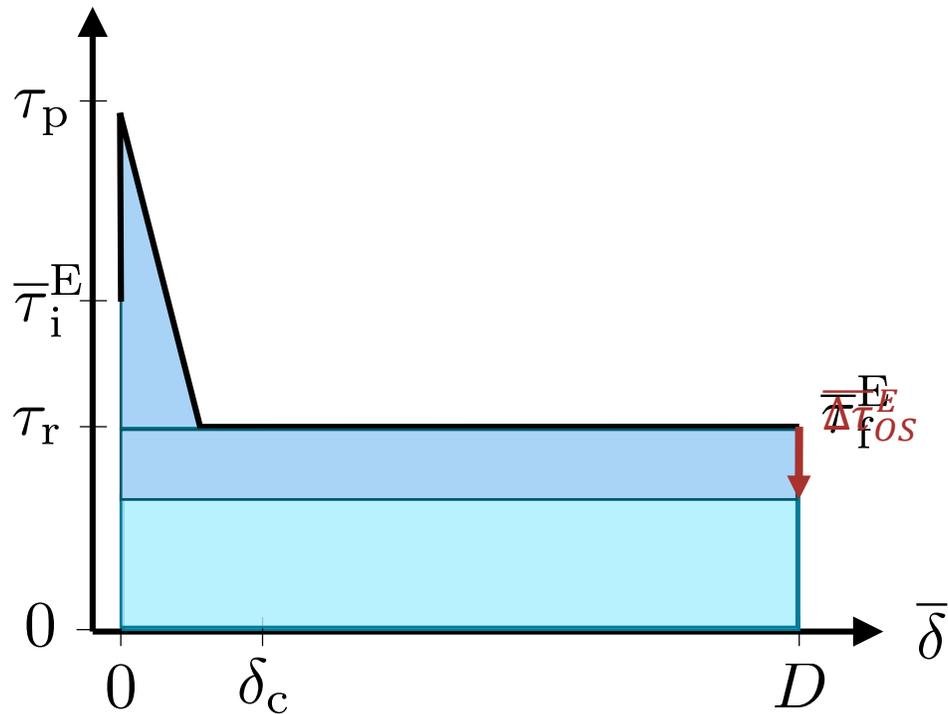
# Final stress is not equal residual stress in simulations



stress overshoot is

- common for crack-like ruptures
- scale-invariant
- of order of 15% of stress drop

# Seismologically inferred breakdown energy scales because of scale-invariant stress overshoot



$$\boxed{\bar{G}'} = \Delta W / A - \boxed{E_H / A} - E_R / A$$

breakdown energy                      heat

assumption:

~~$$\bar{\tau}_f^E = \tau_r$$

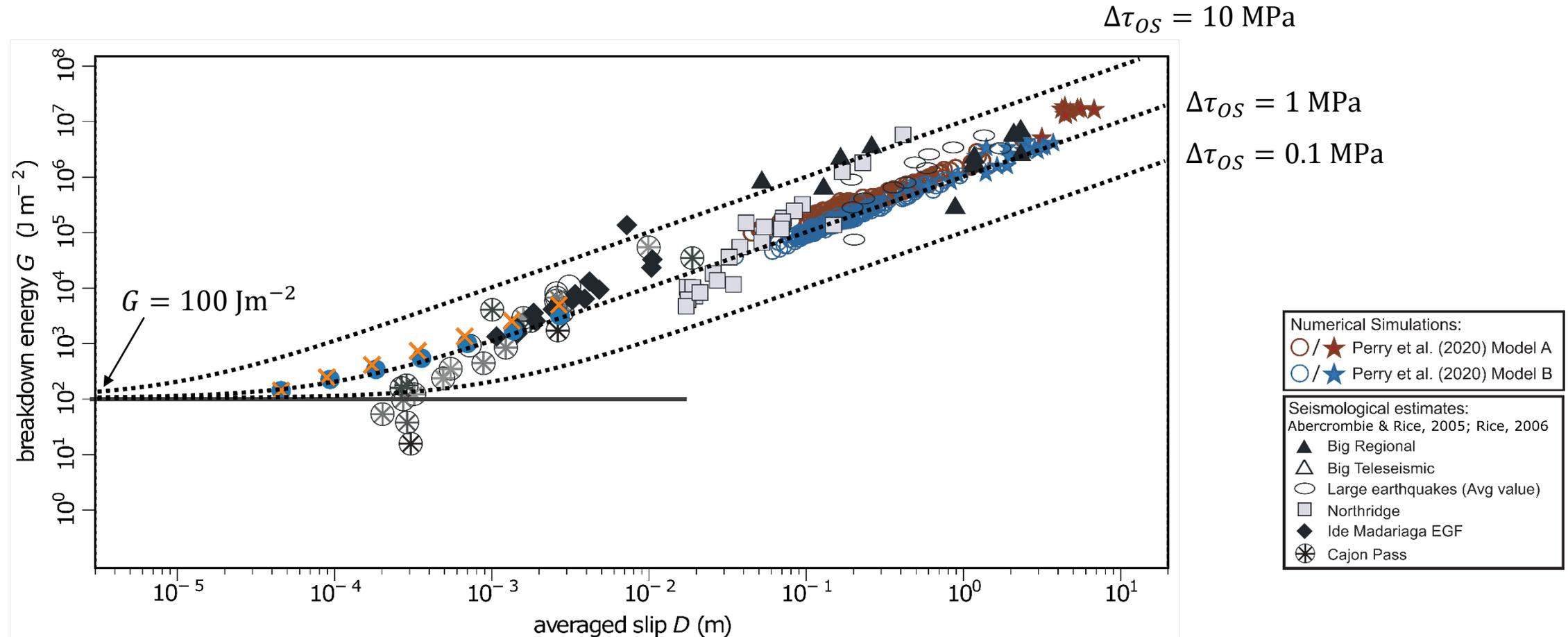
$$\rightarrow E_H / A = \bar{\tau}_f^E D$$~~

proper assumption:  $E_H / A = \bar{\tau}_r D$

hence:  $G' = G + \overline{\Delta \tau}_{OS}^E D$

# Seismologically inferred breakdown energy scales because of scale-invariant stress overshoot

$$G' = G + \overline{\Delta\tau_{OS}}^E D$$

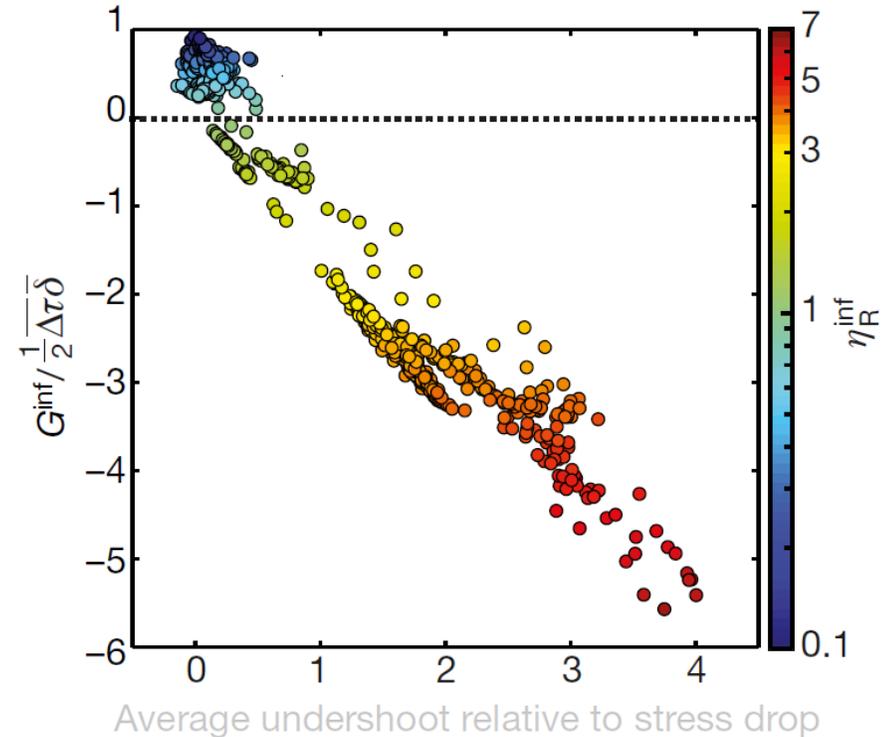


# Negative seismologically inferred breakdown is result of stress undershoot (instead of overshoot)

$$G' = G + \overline{\Delta\tau}_{OS}^E D < 0$$

$< 0$        $\swarrow$  towards

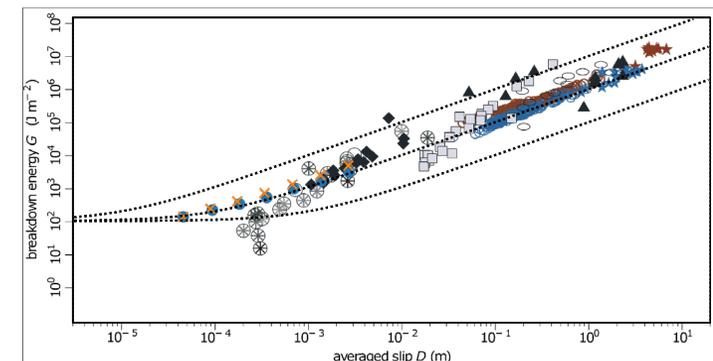
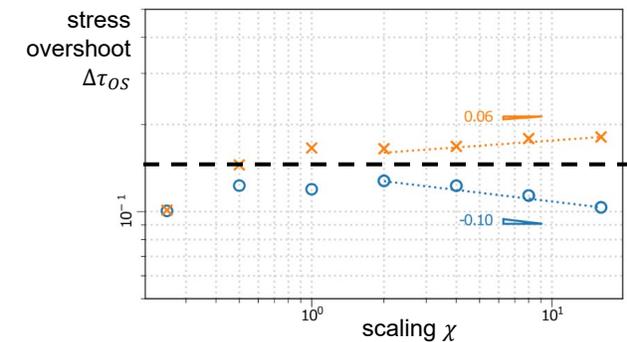
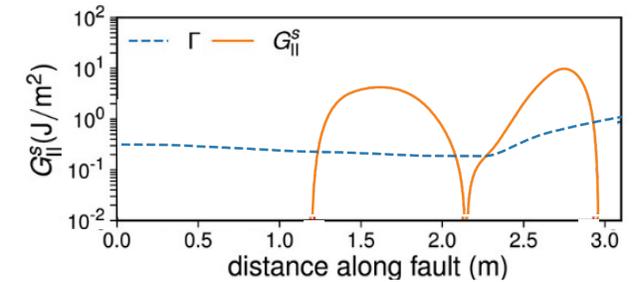
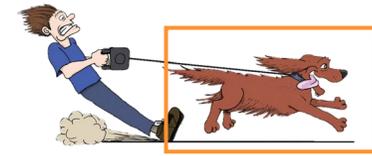
a different “type” of earthquakes (pulse-like) are known to cause stress undershoot



Lambert *et al.*, *Nature*, 2021

# Conclusion

- Laboratory earthquakes arrest because they run out of energy
- Earthquake simulations with self-similar (non-uniform) stress state cause scale-invariant stress overshoot
- This scale-invariant stress overshoot may explain observed scaling of seismologically inferred breakdown energy



Thank you!

Ke, McLaskey, & Kammer (2021) arXiv:2105.06893  
Ke, McLaskey, & Kammer, *Geophys. Res. Lett.*, (2018)

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